

**ON SELF-ADJOINT OPERATORS
IN KREIN SPACES
CONSTRUCTED BY CLIFFORD ALGEBRA \mathcal{Cl}_2**

Sergii Kuzhel and Olexiy Patsyuck

Abstract. Let J and R be anti-commuting fundamental symmetries in a Hilbert space \mathfrak{H} . The operators J and R can be interpreted as basis (generating) elements of the complex Clifford algebra $\mathcal{Cl}_2(J, R) := \text{span}\{I, J, R, iJR\}$. An arbitrary non-trivial fundamental symmetry from $\mathcal{Cl}_2(J, R)$ is determined by the formula $J_{\vec{\alpha}} = \alpha_1 J + \alpha_2 R + \alpha_3 iJR$, where $\vec{\alpha} \in \mathbb{S}^2$. Let S be a symmetric operator that commutes with $\mathcal{Cl}_2(J, R)$. The purpose of this paper is to study the sets $\Sigma_{J_{\vec{\alpha}}}$ ($\forall \vec{\alpha} \in \mathbb{S}^2$) of self-adjoint extensions of S in Krein spaces generated by fundamental symmetries $J_{\vec{\alpha}}$ ($J_{\vec{\alpha}}$ -self-adjoint extensions). We show that the sets $\Sigma_{J_{\vec{\alpha}}}$ and $\Sigma_{J_{\vec{\beta}}}$ are unitarily equivalent for different $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ and describe in detail the structure of operators $A \in \Sigma_{J_{\vec{\alpha}}}$ with empty resolvent set.

Keywords: Krein spaces, extension theory of symmetric operators, operators with empty resolvent set, J -self-adjoint operators, Clifford algebra \mathcal{Cl}_2 .

Mathematics Subject Classification: 47A55, 47B25, 47A57, 81Q15.

1. INTRODUCTION

Let \mathfrak{H} be a Hilbert space with inner product (\cdot, \cdot) and with non-trivial fundamental symmetry J (i.e., $J = J^*$, $J^2 = I$, and $J \neq \pm I$).

The space \mathfrak{H} endowed with the indefinite inner product (indefinite metric) $[\cdot, \cdot]_J := (J\cdot, \cdot)$ is called a Krein space $(\mathfrak{H}, [\cdot, \cdot]_J)$.

An operator A acting in \mathfrak{H} is called J -self-adjoint if A is self-adjoint with respect to the indefinite metric $[\cdot, \cdot]_J$, i.e., if $A^*J = JA$.

In contrast to self-adjoint operators in Hilbert spaces (which necessarily have a purely real spectrum), a J -self-adjoint operator A , in general, has spectrum which is only symmetric with respect to the real axis. In particular, the situation where $\sigma(A) = \mathbb{C}$ (i.e., A has empty resolvent set $\rho(A) = \emptyset$) is also possible and it may

indicate on a special structure of A . To illustrate this point we consider a simple symmetric¹⁾ operator S with deficiency indices $\langle 2, 2 \rangle$ which commutes with J :

$$SJ = JS.$$

It was recently shown [15, Theorem 4.3] that the existence at least one J -self-adjoint extension A of S with empty resolvent set *is equivalent* to the existence of an additional fundamental symmetry R in \mathfrak{H} such that

$$SR = RS, \quad JR = -RJ. \tag{1.1}$$

The fundamental symmetries J and R can be interpreted as basis (generating) elements of the complex Clifford algebra $\mathcal{Cl}_2(J, R) := \text{span}\{I, J, R, iJR\}$ [11]. Hence, the existence of J -self-adjoint extensions of S with empty resolvent set *is equivalent* to the commutation of S with an arbitrary element of the Clifford algebra $\mathcal{Cl}_2(J, R)$.

In the present paper we investigate nonself-adjoint extensions of a densely defined symmetric operator S assuming that S commutes with elements of $\mathcal{Cl}_2(J, R)$. Precisely, we show that an arbitrary non-trivial fundamental symmetry $J_{\vec{\alpha}}$ constructed in terms of $\mathcal{Cl}_2(J, R)$ is uniquely determined by the choice of vector $\vec{\alpha}$ from the unit sphere \mathbb{S}^2 in \mathbb{R}^3 (Lemma 2.1) and we study various collections $\Sigma_{J_{\vec{\alpha}}}$ of $J_{\vec{\alpha}}$ -self-adjoint extensions of S . Such a ‘flexibility’ of fundamental symmetries is inspired by the application to \mathcal{PT} -symmetric quantum mechanics [5], where \mathcal{PT} -symmetric Hamiltonians are not necessarily can be realized as \mathcal{P} -self-adjoint operators [1, 17]. Moreover, for certain models [11], the corresponding \mathcal{PT} -symmetric operator realizations can be interpreted as $J_{\vec{\alpha}}$ -self-adjoint operators when $\vec{\alpha}$ runs \mathbb{S}^2 .

We show that the sets $\Sigma_{J_{\vec{\alpha}}}$ and $\Sigma_{J_{\vec{\beta}}}$ are unitarily equivalent for different $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ (Theorem 2.9) and describe properties of $A \in \Sigma_{J_{\vec{\alpha}}}$ in terms of boundary triplets (subsections 2.4, 2.5).

Denote by $\Xi_{\vec{\alpha}}$ the collection of all operators $A \in \Sigma_{J_{\vec{\alpha}}}$ with empty resolvent set. It follows from our results that, as a rule, an operator $A \in \Xi_{\vec{\alpha}}$ is $J_{\vec{\beta}}$ -self-adjoint (i.e., $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$) for a special choice of $\vec{\beta} \in \mathbb{S}^2$ which depends on A . In this way, for the case of symmetric operators S with deficiency indices $\langle 2, 2 \rangle$, the complete description of $\Xi_{\vec{\alpha}}$ is obtained as the union of operators $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$, $\rho(A) = \emptyset, \forall \vec{\beta} \in \mathbb{S}^2$ (Theorem 3.3). In the exceptional case when the Weyl function of S is a constant, the set $\Xi_{\vec{\alpha}}$ increases considerably (Corollary 3.5).

The one-dimensional Schrödinger differential expression with non-integrable singularity at zero (the limit-circle case at $x = 0$) is considered as an example of application (Proposition 3.6).

Throughout the paper, $\mathcal{D}(A)$ denotes the domain of a linear operator A . $A \upharpoonright_{\mathcal{D}}$ means the restriction of A onto a set \mathcal{D} . The notation $\sigma(A)$ and $\rho(A)$ are used for the spectrum and the resolvent set of A .

¹⁾ with respect to the initial inner product (\cdot, \cdot)

2. SETS $\Sigma_{J_{\vec{\alpha}}}$ AND THEIR PROPERTIES

2.1. PRELIMINARIES

Let \mathfrak{H} be a Hilbert space with inner product (\cdot, \cdot) and let J and R be fundamental symmetries in \mathfrak{H} satisfying (1.1).

Denote by $\mathcal{Cl}_2(J, R) := \text{span}\{I, J, R, iJR\}$ a complex Clifford algebra with generating elements J and R . Since the operators I, J, R , and iJR are linearly independent (due to (1.1)), an arbitrary operator $K \in \mathcal{Cl}_2(J, R)$ can be presented as:

$$K = \alpha_0 I + \alpha_1 J + \alpha_2 R + \alpha_3 iJR, \quad \alpha_j \in \mathbb{C}. \tag{2.1}$$

Lemma 2.1 ([15]). *An operator K defined by (2.1) is a non-trivial fundamental symmetry in \mathfrak{H} (i.e., $K^2 = I$, $K = K^*$, and $K \neq I$) if and only if*

$$K = \alpha_1 J + \alpha_2 R + \alpha_3 iJR, \tag{2.2}$$

where $\alpha_1^2 + \alpha_2^2 + \alpha_3^2 = 1$ and $\alpha_j \in \mathbb{R}$.

Proof. The reality of α_j in (2.2) follows from the self-adjointness of I, J, R , and iJR . The condition $\alpha_1^2 + \alpha_2^2 + \alpha_3^2 = 1$ is equivalent to the relation $K^2 = I$. \square

Remark 2.2. The formula (2.2) establishes a one-to-one correspondence between the set of non-trivial fundamental symmetries K in $\mathcal{Cl}_2(J, R)$ and vectors $\vec{\alpha} = (\alpha_1, \alpha_2, \alpha_3)$ of the unit sphere \mathbb{S}^2 in \mathbb{R}^3 . To underline this relationship we will use the notation $J_{\vec{\alpha}}$ for the fundamental symmetry K determined by (2.2), i.e.,

$$J_{\vec{\alpha}} = \alpha_1 J + \alpha_2 R + \alpha_3 iJR. \tag{2.3}$$

In particular, this means that $J_{\vec{\alpha}} = J$ with $\vec{\alpha} = (1, 0, 0)$ and $J_{\vec{\alpha}} = R$ when $\vec{\alpha} = (0, 1, 0)$.

Lemma 2.3. *Let $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$. Then*

$$J_{\vec{\alpha}} J_{\vec{\beta}} = -J_{\vec{\beta}} J_{\vec{\alpha}} \quad \text{if and only if} \quad \vec{\alpha} \cdot \vec{\beta} = 0. \tag{2.4}$$

and

$$J_{\vec{\alpha}} + J_{\vec{\beta}} = |\vec{\alpha} + \vec{\beta}| J_{\frac{\vec{\alpha} + \vec{\beta}}{|\vec{\alpha} + \vec{\beta}|}} \quad \text{if} \quad \vec{\alpha} \neq -\vec{\beta}. \tag{2.5}$$

Proof. It immediately follows from Lemma 2.1 and identities (1.1), (2.3). \square

2.2. DEFINITION AND PROPERTIES OF $\Sigma_{J_{\vec{\alpha}}}$

1. Let S be a closed densely defined symmetric operator with equal deficiency indices in the Hilbert space \mathfrak{H} . In what follows we suppose that S commutes with all elements of $\mathcal{Cl}_2(J, R)$ or, that is equivalent, S commutes with J and R :

$$SJ = JS, \quad SR = RS \tag{2.6}$$

Denote by Υ the set of all self-adjoint extensions A of S which commute with J and R :

$$\Upsilon = \{ A \supset S : A^* = A, \quad AJ = JA, \quad AR = RA \}. \tag{2.7}$$

It follows from (2.3) and (2.7) that Υ contains self-adjoint extensions of S which commute with all fundamental symmetries $J_{\vec{\alpha}} \in Cl_2(J, R)$.

Let us fix one of them $J_{\vec{\alpha}}$ and denote by $(\mathfrak{H}, [\cdot, \cdot]_{J_{\vec{\alpha}}})$ the corresponding Krein space²⁾ with the indefinite inner product $[\cdot, \cdot]_{J_{\vec{\alpha}}} := (J_{\vec{\alpha}} \cdot, \cdot)$.

Denote by $\Sigma_{J_{\vec{\alpha}}}$ the collection of all $J_{\vec{\alpha}}$ -self-adjoint extensions of S :

$$\Sigma_{J_{\vec{\alpha}}} = \{ A \supset S : J_{\vec{\alpha}}A^* = AJ_{\vec{\alpha}} \}. \tag{2.8}$$

An operator $A \in \Sigma_{J_{\vec{\alpha}}}$ is a self-adjoint extension of S with respect to the indefinite metric $[\cdot, \cdot]_{J_{\vec{\alpha}}}$.

Proposition 2.4. *The following relation holds*

$$\bigcap_{\forall \vec{\alpha} \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}} = \Upsilon.$$

Proof. It follows from the definitions above that $\Sigma_{J_{\vec{\alpha}}} \supset \Upsilon$. Therefore,

$$\bigcap_{\forall \vec{\alpha} \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}} \supset \Upsilon.$$

Let $A \in \bigcap_{\forall \vec{\alpha} \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}}$. In particular, this means that $A \in \Sigma_J$, $A \in \Sigma_R$, and $A \in \Sigma_{iJR}$. It follows from the first two relations that $JA^* = AJ$ and $RA^* = AR$. Therefore, $iJRA^* = iJAR = A^*iJR$. Simultaneously, $iJRA^* = AiJR$ since $A \in \Sigma_{iJR}$. Comparing the obtained relations we deduce that $A^*iJR = AiJR$ and hence, $A^* = A$. Thus A is a self-adjoint operator and it commutes with an arbitrary fundamental symmetry $J_{\vec{\alpha}} \in Cl_2(J, R)$. Therefore, $A \in \Upsilon$. Proposition 2.4 is proved. \square

Simple analysis of the proof of Proposition 2.4 leads to the conclusion that

$$\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}} \cap \Sigma_{J_{\vec{\gamma}}} = \Upsilon$$

for any three linearly independent vectors $\vec{\alpha}, \vec{\beta}, \vec{\gamma} \in \mathbb{S}^2$. However,

$$\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}} \supset \Upsilon, \quad \forall \vec{\alpha}, \vec{\beta} \in \mathbb{S}^2 \tag{2.9}$$

and the intersection $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ contains operators A with empty resolvent set (i.e., $\rho(A) = \emptyset$ or, that is equivalent, $\sigma(A) = \mathbb{C}$). Let us discuss this phenomena in detail.

Consider two linearly independent vectors $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$. If $\vec{\alpha} \cdot \vec{\beta} \neq 0$, we define new vector $\vec{\beta}'$ in \mathbb{S}^2 :

$$\vec{\beta}' = \frac{\vec{\alpha} + c\vec{\beta}}{|\vec{\alpha} + c\vec{\beta}|}, \quad c = -\frac{1}{\vec{\alpha} \cdot \vec{\beta}}$$

²⁾ We refer to [4, 10] for the terminology of the Krein spaces theory.

such that $\vec{\alpha} \cdot \vec{\beta}' = 0$. Then the fundamental symmetry

$$J_{\vec{\beta}'} = \frac{1}{|\vec{\alpha} + c\vec{\beta}'|} J_{\vec{\alpha}} + \frac{c}{|\vec{\alpha} + c\vec{\beta}'|} J_{\vec{\beta}} \tag{2.10}$$

anti-commutes with $J_{\vec{\alpha}}$ (due to Lemma 2.3).

The operator

$$J_{\vec{\gamma}} = iJ_{\vec{\alpha}}J_{\vec{\beta}'} = \begin{vmatrix} J & R & iJR \\ \alpha_1 & \alpha_2 & \alpha_3 \\ \beta'_1 & \beta'_2 & \beta'_3 \end{vmatrix} \tag{2.11}$$

is a fundamental symmetry in \mathfrak{H} which commutes with S . Therefore, the orthogonal decomposition of \mathfrak{H} constructed by $J_{\vec{\gamma}}$:

$$\mathfrak{H} = \mathfrak{H}_+^{\gamma} \oplus \mathfrak{H}_-^{\gamma}, \quad \mathfrak{H}_+^{\gamma} = \frac{1}{2}(I + J_{\vec{\gamma}})\mathfrak{H}, \quad \mathfrak{H}_-^{\gamma} = \frac{1}{2}(I - J_{\vec{\gamma}})\mathfrak{H} \tag{2.12}$$

reduces S :

$$S = \begin{pmatrix} S_{\gamma+} & 0 \\ 0 & S_{\gamma-} \end{pmatrix}, \quad S_{\gamma+} = S \upharpoonright_{\mathfrak{H}_+^{\gamma}}, \quad S_{\gamma-} = S \upharpoonright_{\mathfrak{H}_-^{\gamma}}. \tag{2.13}$$

Since $J_{\vec{\gamma}}$ anti-commutes with $J_{\vec{\alpha}}$ (see (2.11)), the operator $J_{\vec{\alpha}}$ maps $\mathfrak{H}_{\pm}^{\gamma}$ onto $\mathfrak{H}_{\mp}^{\gamma}$ and operators $S_{\gamma+}$ and $S_{\gamma-}$ are unitarily equivalent. Precisely, $S_{\gamma-}x = J_{\vec{\alpha}}S_{\gamma+}J_{\vec{\alpha}}x$ for all elements $x \in \mathcal{D}(S_{\gamma-})$. This means that $S_{\gamma+}$ and $S_{\gamma-}$ have equal deficiency indices.³⁾

Denote

$$A_{\gamma} = \begin{pmatrix} S_{\gamma+} & 0 \\ 0 & S_{\gamma-}^* \end{pmatrix}, \quad A_{\gamma}^* = \begin{pmatrix} S_{\gamma+}^* & 0 \\ 0 & S_{\gamma-} \end{pmatrix}. \tag{2.14}$$

The operators A_{γ} and A_{γ}^* are extensions of S and $\sigma(A_{\gamma}) = \sigma(A_{\gamma}^*) = \mathbb{C}$ (since $S_{\gamma\pm}$ are symmetric operators), i.e., these operators *have empty resolvent set*.

Theorem 2.5. *Let $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ be linearly independent vectors. Then the operators A_{γ} and A_{γ}^* defined by (2.14) belong to $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$.*

Proof. Assume that $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$, where $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ are linearly independent vectors. Then

$$J_{\vec{\alpha}}A = A^*J_{\vec{\alpha}}, \quad J_{\vec{\beta}}A = A^*J_{\vec{\beta}} \tag{2.15}$$

and hence, $J_{\vec{\beta}'}A = A^*J_{\vec{\beta}'}$ due to (2.10). In that case

$$J_{\vec{\gamma}}A = iJ_{\vec{\alpha}}J_{\vec{\beta}'}A = iJ_{\vec{\alpha}}A^*J_{\vec{\beta}'} = iAJ_{\vec{\alpha}}J_{\vec{\beta}'} = AJ_{\vec{\gamma}},$$

where $J_{\vec{\gamma}} = iJ_{\vec{\alpha}}J_{\vec{\beta}'}$ (see (2.11)) is the fundamental symmetry in \mathfrak{H} .

³⁾ This also implies that the symmetric operator S commuting with $\mathcal{Cl}_2(J, R)$ may have only *even* deficiency indices.

Since A commutes with $J_{\vec{\gamma}}$, the decomposition (2.12) reduces A and

$$A = \begin{pmatrix} A_+ & 0 \\ 0 & A_- \end{pmatrix}, \quad A_+ = A \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}, \quad A_- = A \upharpoonright_{\mathfrak{H}_-^{\vec{\gamma}}}, \quad (2.16)$$

where $S_{\gamma+} \subseteq A_+ \subseteq S_{\gamma+}^*$ and $S_{\gamma-} \subseteq A_- \subseteq S_{\gamma-}^*$. This means that

$$A^* = \begin{pmatrix} A_+^* & 0 \\ 0 & A_-^* \end{pmatrix}, \quad A_+^* = A^* \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}, \quad A_-^* = A^* \upharpoonright_{\mathfrak{H}_-^{\vec{\gamma}}}. \quad (2.17)$$

Since $J_{\vec{\alpha}}$ anti-commutes with $J_{\vec{\gamma}}$, the operator $J_{\vec{\alpha}}$ maps $\mathfrak{H}_{\pm}^{\vec{\gamma}}$ onto $\mathfrak{H}_{\mp}^{\vec{\gamma}}$. Therefore, the first relation in (2.15) can be rewritten with the use of formulas (2.16) and (2.17) as follows:

$$J_{\vec{\alpha}}Ax = J_{\vec{\alpha}}(A_+x_+ + A_-x_-) = A_-^*J_{\vec{\alpha}}x_+ + A_+^*J_{\vec{\alpha}}x_- = A^*J_{\vec{\alpha}}x, \quad (2.18)$$

where $x = x_+ + x_- \in \mathcal{D}(A)$, $x_{\pm} \in \mathcal{D}(A_{\pm})$.

The identity (2.18) holds for all $x_{\pm} \in \mathcal{D}(A_{\pm})$. This means that

$$J_{\vec{\alpha}}A_+ = A_-^*J_{\vec{\alpha}}, \quad J_{\vec{\alpha}}A_- = A_+^*J_{\vec{\alpha}}. \quad (2.19)$$

It follows from (2.10) and (2.11) that the fundamental symmetry $J_{\vec{\beta}}$ anti-commutes with $J_{\vec{\gamma}}$. Repeating the arguments above for the second relation in (2.15) we obtain

$$J_{\vec{\beta}}A_+ = A_-^*J_{\vec{\beta}}, \quad J_{\vec{\beta}}A_- = A_+^*J_{\vec{\beta}}. \quad (2.20)$$

Thus an operator A belongs to $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ if and only if its counterparts A_+ and A_- in (2.16) satisfy relations (2.19) and (2.20). In particular, these relations are satisfied for the cases when $A_+ = S_{\gamma+}$, $A_- = S_{\gamma-}^*$ and $A_+ = S_{\gamma+}^*$, $A_- = S_{\gamma-}$. Hence, the operators $A_{\vec{\gamma}}$, $A_{\vec{\gamma}}^*$ defined by (2.14) belong to $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$. Theorem 2.5 is proved. \square

Remark 2.6. The operators $A_{\vec{\gamma}}$ and $A_{\vec{\gamma}}^*$ constructed above depend on the choice of $\vec{\beta} \in \mathbb{S}^2$. Considering various vectors $\vec{\beta} \in \mathbb{S}^2$ in (2.10), (2.11), we obtain a collection of fundamental symmetries $J_{\vec{\gamma}(\vec{\beta})}$. This gives rise to a one-parameter set of different operators $A_{\vec{\gamma}(\vec{\beta})}$ and $A_{\vec{\gamma}(\vec{\beta})}^*$ with empty resolvent set which belong to $\Sigma_{J_{\vec{\alpha}}}$.

Corollary 2.7. Let $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ be linearly independent vectors and let (2.12) be the decomposition of \mathfrak{H} constructed by these vectors. Then, with respect to (2.12), all operators $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ are described by the formula

$$A = \begin{pmatrix} A_+ & 0 \\ 0 & J_{\vec{\alpha}}A_+^*J_{\vec{\alpha}} \end{pmatrix}, \quad (2.21)$$

where A_+ is an arbitrary intermediate extension of $S_{\gamma+} = S \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}$ (i.e., $S_{\gamma+} \subseteq A_+ \subseteq S_{\gamma+}^*$).

Proof. If $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$, then the presentation (2.21) follows from (2.16) and the second identity in (2.19).

Conversely, assume that an operator A is defined by (2.21). Since $J_{\vec{\alpha}}$ and $J_{\vec{\beta}}$ anti-commute with $J_{\vec{\gamma}}$, they admit the presentations $J_{\vec{\alpha}} = \begin{pmatrix} 0 & J_{\vec{\alpha}} \\ J_{\vec{\alpha}} & 0 \end{pmatrix}$ and $J_{\vec{\beta}} = \begin{pmatrix} 0 & J_{\vec{\beta}} \\ J_{\vec{\beta}} & 0 \end{pmatrix}$ with respect to (2.12). Then, the operator equality $J_{\vec{\alpha}}A = A^*J_{\vec{\alpha}}$ is established by the direct multiplication of the corresponding operator entries. The same procedure for $J_{\vec{\beta}}A = A^*J_{\vec{\beta}}$ leads to the verification of relations

$$J_{\vec{\alpha}}J_{\vec{\beta}}A_+ = A_+J_{\vec{\alpha}}J_{\vec{\beta}}, \quad J_{\vec{\beta}}J_{\vec{\alpha}}A_+^* = A_+^*J_{\vec{\beta}}J_{\vec{\alpha}}. \tag{2.22}$$

To this end we recall that $J_{\vec{\gamma}}$ commutes with A_+ and

$$J_{\vec{\alpha}}J_{\vec{\beta}} = -\frac{1}{c}I - i\frac{|\vec{\alpha} + c\vec{\beta}|}{c}J_{\vec{\gamma}}$$

due to (2.10) and (2.11). Therefore, A_+ commutes with $J_{\vec{\alpha}}J_{\vec{\beta}}$ and the first relation in (2.22) holds. The second relation is established in the same manner, if we take into account that $J_{\vec{\gamma}}$ commutes with A_+^* and $J_{\vec{\beta}}J_{\vec{\alpha}} = -\frac{1}{c}I + i\frac{|\vec{\alpha} + c\vec{\beta}|}{c}J_{\vec{\gamma}}$. Corollary 2.7 is proved. \square

Remark 2.8. It follows from the proof that the choice of $J_{\vec{\alpha}}$ in (2.21) is not essential and the similar description of $\Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ can be obtained with the help of $J_{\vec{\beta}}$.

2. Denote

$$W_{\vec{\alpha},\vec{\beta}} = \begin{cases} \frac{J_{\vec{\alpha}+\vec{\beta}}}{|\vec{\alpha}+\vec{\beta}|} & \text{if } \vec{\alpha} \neq -\vec{\beta}, \\ I & \text{if } \vec{\alpha} = -\vec{\beta}. \end{cases} \tag{2.23}$$

It is clear that $W_{\vec{\alpha},\vec{\beta}}$ is a fundamental symmetry in \mathfrak{H} and $W_{\vec{\alpha},\vec{\beta}} = W_{\vec{\beta},\vec{\alpha}}$ for any $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$.

Theorem 2.9. For any $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ the sets $\Sigma_{J_{\vec{\alpha}}}$ and $\Sigma_{J_{\vec{\beta}}}$ are unitarily equivalent and $A \in \Sigma_{J_{\vec{\alpha}}}$ if and only if $W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$.

Proof. Since $J_{-\vec{\alpha}} = -J_{\vec{\alpha}}$ (see (2.3)), the sets $\Sigma_{J_{\vec{\alpha}}}$ and $\Sigma_{J_{-\vec{\alpha}}}$ coincide and therefore, the case $\vec{\alpha} = -\vec{\beta}$ is trivial.

Assume that $A \in \Sigma_{J_{\vec{\alpha}}}$, $\vec{\alpha} \neq -\vec{\beta}$ and consider the operator $W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}$, which we denote B for brevity. Taking into account that S commutes with $J_{\vec{\alpha}}$ for any choice of $\vec{\alpha} \in \mathbb{S}^2$, we deduce from (2.23) that $W_{\vec{\alpha},\vec{\beta}}S = SW_{\vec{\alpha},\vec{\beta}}$ and $W_{\vec{\alpha},\vec{\beta}}S^* = S^*W_{\vec{\alpha},\vec{\beta}}$. This means that $Bx = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}x = W_{\vec{\alpha},\vec{\beta}}SW_{\vec{\alpha},\vec{\beta}}x = Sx$ for all $x \in \mathcal{D}(S)$ and $By = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}y = W_{\vec{\alpha},\vec{\beta}}S^*W_{\vec{\alpha},\vec{\beta}}y = S^*y$ for all $y \in \mathcal{D}(B) = W_{\vec{\alpha},\vec{\beta}}\mathcal{D}(A)$. Therefore, B is an intermediate extension of S (i.e., $S \subseteq B \subseteq S^*$).

It follows from (2.5) and (2.23) that

$$J_{\vec{\beta}}W_{\vec{\alpha},\vec{\beta}} = J_{\vec{\beta}}\frac{J_{\vec{\alpha}} + J_{\vec{\beta}}}{|\vec{\alpha} + \vec{\beta}|} = \frac{J_{\vec{\beta}}J_{\vec{\alpha}} + I}{|\vec{\alpha} + \vec{\beta}|} = \frac{J_{\vec{\beta}} + J_{\vec{\alpha}}}{|\vec{\alpha} + \vec{\beta}|}J_{\vec{\alpha}} = W_{\vec{\alpha},\vec{\beta}}J_{\vec{\alpha}}. \tag{2.24}$$

Using (2.15) and (2.24), we arrive at the conclusion that

$$J_{\vec{\beta}}B^* = J_{\vec{\beta}}W_{\vec{\alpha},\vec{\beta}}A^*W_{\vec{\alpha},\vec{\beta}} = W_{\vec{\alpha},\vec{\beta}}AJ_{\vec{\alpha}}W_{\vec{\alpha},\vec{\beta}} = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}}J_{\vec{\beta}} = BJ_{\vec{\beta}}.$$

Therefore, condition $A \in \Sigma_{J_{\vec{\alpha}}}$ implies that $B = W_{\vec{\alpha},\vec{\beta}}AW_{\vec{\alpha},\vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$. The inverse implication $B \in \Sigma_{J_{\vec{\beta}}} \Rightarrow A = W_{\vec{\alpha},\vec{\beta}}BW_{\vec{\alpha},\vec{\beta}} \in \Sigma_{J_{\vec{\alpha}}}$ is established in the same manner. Theorem 2.9 is proved. \square

Remark 2.10. Due to Theorem 2.9, for any $J_{\vec{\alpha}}$ -self-adjoint extension $A \in \Sigma_{J_{\vec{\alpha}}}$ there exists a unitarily equivalent $J_{\vec{\beta}}$ -self-adjoint extension $B \in \Sigma_{J_{\vec{\beta}}}$. This means that, the spectral analysis of operators from $\bigcup_{\alpha \in \mathbb{S}^2} \Sigma_{J_{\vec{\alpha}}}$ can be reduced to the spectral analysis of $J_{\vec{\alpha}}$ -self-adjoint extensions from $\Sigma_{J_{\vec{\alpha}}}$, where $\vec{\alpha}$ is a fixed vector from \mathbb{S}^2 .

2.3. BOUNDARY TRIPLETS AND WEYL FUNCTION

1. Let S be a closed symmetric operator with equal deficiency indices in the Hilbert space \mathfrak{H} . A triplet $(\mathcal{H}, \Gamma_0, \Gamma_1)$, where \mathcal{H} is an auxiliary Hilbert space and Γ_0, Γ_1 are linear mappings of $\mathcal{D}(S^*)$ into \mathcal{H} , is called a *boundary triplet of S^** if the abstract Green identity

$$(S^*x, y) - (x, S^*y) = (\Gamma_1x, \Gamma_0y)_{\mathcal{H}} - (\Gamma_0x, \Gamma_1y)_{\mathcal{H}}, \quad x, y \in \mathcal{D}(S^*) \tag{2.25}$$

is satisfied and the map $(\Gamma_0, \Gamma_1) : \mathcal{D}(S^*) \rightarrow \mathcal{H} \oplus \mathcal{H}$ is surjective [7, 9].

Lemma 2.11. *Assume that S satisfies the commutation relations (2.6) and $J_{\vec{\tau}}, J_{\vec{\gamma}} \in Cl_2(J, R)$ are fixed anti-commuting fundamental symmetries. Then there exists a boundary triplet $(\mathcal{H}, \Gamma_0, \Gamma_1)$ of S^* such that the formulas*

$$J_{\vec{\tau}}\Gamma_j := \Gamma_jJ_{\vec{\tau}}, \quad J_{\vec{\gamma}}\Gamma_j := \Gamma_jJ_{\vec{\gamma}}, \quad j = 0, 1 \tag{2.26}$$

correctly define anti-commuting fundamental symmetries $J_{\vec{\tau}}$ and $J_{\vec{\gamma}}$ in the Hilbert space \mathcal{H} .

Proof. If S satisfies (2.6), then S commutes with an arbitrary fundamental symmetry $J_{\vec{\gamma}} \in Cl_2(J, R)$ and hence, S admits the representation (2.13) for any vector $\vec{\gamma} \in \mathbb{S}^2$.

Let $S_{\vec{\gamma}+}$ be a symmetric operator in $\mathfrak{H}_{\vec{\gamma}+}^{\gamma}$ from (2.13) and let $(N, \Gamma_0^+, \Gamma_1^+)$ be an arbitrary boundary triplet of $S_{\vec{\gamma}+}$.

Since $J_{\vec{\tau}}$ anti-commutes with $J_{\vec{\gamma}}$, the symmetric operator $S_{\vec{\gamma}-}$ in (2.13) can be described as $S_{\vec{\gamma}-} = J_{\vec{\tau}}S_{\vec{\gamma}+}J_{\vec{\tau}}$. This means that $(N, \Gamma_0^+J_{\vec{\tau}}, \Gamma_1^+J_{\vec{\tau}})$ is a boundary triplet of $S_{\vec{\gamma}-}$.

It is easy to see that the operators

$$\Gamma_jf = \Gamma_j(f_+ + f_-) = \begin{pmatrix} \Gamma_j^+f_+ \\ \Gamma_j^+J_{\vec{\tau}}f_- \end{pmatrix} \tag{2.27}$$

$(f = f_+ + f_- \in \mathcal{D}(S^*), f_{\pm} \in \mathcal{D}(S_{\vec{\gamma}\pm}^*))$ map $\mathcal{D}(S^*)$ onto the Hilbert space

$$\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-, \quad \mathcal{H}_+ = \begin{pmatrix} N \\ 0 \end{pmatrix}, \quad \mathcal{H}_- = \begin{pmatrix} 0 \\ N \end{pmatrix}$$

and they form a boundary triplet $(\mathcal{H}, \Gamma_0, \Gamma_1)$ of S^* which satisfies (2.26) with

$$\mathcal{J}_{\overline{\tau}} = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \quad \mathcal{J}_{\overline{\gamma}} = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}. \tag{2.28}$$

It is clear that $\mathcal{J}_{\overline{\tau}}$ and $\mathcal{J}_{\overline{\gamma}}$ are anti-commuting fundamental symmetries in the Hilbert space \mathcal{H} . □

Remark 2.12. The fundamental symmetries $\mathcal{J}_{\overline{\tau}}$ and $\mathcal{J}_{\overline{\gamma}}$ are defined by (2.26) in a similar way that in [13], where symmetric operators commuting with involution has been studied.

Remark 2.13. Since J and R can be expressed as linear combinations of $J_{\overline{\tau}}$, $J_{\overline{\gamma}}$, and $iJ_{\overline{\tau}}J_{\overline{\gamma}}$, formulas (2.26) imply that

$$\mathcal{J}\Gamma_j := \Gamma_j J, \quad \mathcal{R}\Gamma_j := \Gamma_j R, \quad j = 0, 1,$$

where \mathcal{J} and \mathcal{R} are anti-commuting fundamental symmetries in \mathcal{H} . Therefore, an arbitrary boundary triplet $(\mathcal{H}, \Gamma_0, \Gamma_1)$ of S^* with property (2.26) allows one to *establish a bijective correspondence between elements of the initial Clifford algebra $Cl_2(J, R)$ and its “image” $Cl_2(\mathcal{J}, \mathcal{R})$ in the auxiliary space \mathcal{H}* . In particular, for every $J_{\overline{\alpha}} \in Cl_2(J, R)$ defined by (2.3),

$$\mathcal{J}_{\overline{\alpha}}\Gamma_j = \Gamma_j \mathcal{J}_{\overline{\alpha}}, \quad j = 0, 1, \tag{2.29}$$

where $\mathcal{J}_{\overline{\alpha}} = \alpha_1 \mathcal{J} + \alpha_2 \mathcal{R} + \alpha_3 i\mathcal{J}\mathcal{R}$ belongs to $Cl_2(\mathcal{J}, \mathcal{R})$.

2. Let $(\mathcal{H}, \Gamma_0, \Gamma_1)$ be a boundary triplet of S^* . The Weyl function of S associated with $(\mathcal{H}, \Gamma_0, \Gamma_1)$ is defined as follows:

$$M(\mu)\Gamma_0 f_{\mu} = \Gamma_1 f_{\mu}, \quad \forall f_{\mu} \in \ker(S^* - \mu I), \quad \forall \mu \in \mathbb{C} \setminus \mathbb{R}. \tag{2.30}$$

Lemma 2.14. *Let $(\mathcal{H}, \Gamma_0, \Gamma_1)$ be a boundary triplet of S^* with properties (2.26). Then the corresponding Weyl function $M(\cdot)$ commutes with every fundamental symmetry $\mathcal{J}_{\overline{\alpha}} \in Cl_2(\mathcal{J}, \mathcal{R})$:*

$$M(\mu)\mathcal{J}_{\overline{\alpha}} = \mathcal{J}_{\overline{\alpha}}M(\mu), \quad \forall \mu \in \mathbb{C} \setminus \mathbb{R}.$$

Proof. It follows from (2.3) and (2.6) that $S^*J_{\overline{\alpha}} = J_{\overline{\alpha}}S^*$ for all $\overline{\alpha} \in \mathbb{S}^2$. Therefore, $J_{\overline{\alpha}} : \ker(S^* - \mu I) \rightarrow \ker(S^* - \mu I)$. In that case, relations (2.29) and (2.30) lead to $M(\mu)\mathcal{J}_{\overline{\alpha}}\Gamma_0 f_{\mu} = \mathcal{J}_{\overline{\alpha}}\Gamma_1 f_{\mu}$. Thus, $\mathcal{J}_{\overline{\alpha}}M(\mu)\mathcal{J}_{\overline{\alpha}} = M(\mu)$ or $M(\mu)\mathcal{J}_{\overline{\alpha}} = \mathcal{J}_{\overline{\alpha}}M(\mu)$. □

2.4. DESCRIPTION OF $\Sigma_{J_{\overline{\alpha}}}$ IN TERMS OF BOUNDARY TRIPLETS

Theorem 2.15. *Let $(\mathcal{H}, \Gamma_0, \Gamma_1)$ be a boundary triplet of S^* with properties (2.26) for a fixed anti-commuting fundamental symmetries $J_{\overline{\tau}}, J_{\overline{\gamma}} \in Cl_2(J, R)$ and let $J_{\overline{\alpha}}$ be an arbitrary fundamental symmetry from $Cl_2(J, R)$. Then operators $A \in \Sigma_{J_{\overline{\alpha}}}$ coincide with the restriction of S^* onto the domains*

$$\mathcal{D}(A) = \{f \in \mathcal{D}(S^*) : U(\mathcal{J}_{\overline{\alpha}}\Gamma_1 + i\Gamma_0)f = (\mathcal{J}_{\overline{\alpha}}\Gamma_1 - i\Gamma_0)f\}, \tag{2.31}$$

where U runs the set of unitary operators in \mathcal{H} . The correspondence $A \leftrightarrow U$ determined by (2.31) is a bijection between the set $\Sigma_{J_{\overline{\alpha}}}$ of all $J_{\overline{\alpha}}$ -self-adjoint extensions of S and the set of unitary operators in \mathcal{H} .

Proof. An operator A is a $J_{\vec{\alpha}}$ -self-adjoint extension of S if and only if $J_{\vec{\alpha}}A$ is a self-adjoint extension of the symmetric operator $J_{\vec{\alpha}}S$. Since

$$(J_{\vec{\alpha}}S)^* = S^* J_{\vec{\alpha}} = J_{\vec{\alpha}} S^*, \tag{2.32}$$

the Green identity (2.25) can be rewritten with the use of (2.29) as follows:

$$(S^* J_{\vec{\alpha}} x, y) - (x, S^* J_{\vec{\alpha}} y) = (\mathcal{J}_{\vec{\alpha}} \Gamma_1 x, \Gamma_0 y)_{\mathcal{H}} - (\Gamma_0 x, \mathcal{J}_{\vec{\alpha}} \Gamma_1 y)_{\mathcal{H}}.$$

Recalling the definition of boundary triplet we conclude that $(\mathcal{H}, \Gamma_0, \mathcal{J}_{\vec{\alpha}} \Gamma_1)$ is a boundary triplet of $J_{\vec{\alpha}}S$. Therefore [9, Chapter 3, Theorem 1.6], self-adjoint extensions $J_{\vec{\alpha}}A$ of $J_{\vec{\alpha}}S$ coincide with the restriction of $(J_{\vec{\alpha}}S)^*$ onto

$$\mathcal{D}(J_{\vec{\alpha}}A) = \{f \in \mathcal{D}((J_{\vec{\alpha}}S)^*) : U(\mathcal{J}_{\vec{\alpha}} \Gamma_1 + i\Gamma_0)f = (\mathcal{J}_{\vec{\alpha}} \Gamma_1 - i\Gamma_0)f\}$$

where U runs the set of unitary operators in \mathcal{H} and the correspondence $J_{\vec{\alpha}}A \leftrightarrow U$ is bijective. By virtue of (2.32), $\mathcal{D}((J_{\vec{\alpha}}S)^*) = \mathcal{D}(S^*)$. Hence, $J_{\vec{\alpha}}$ -self-adjoint extensions A of S coincide with the restriction of S^* onto $\mathcal{D}(J_{\vec{\alpha}}A)$ that implies (2.31). \square

Corollary 2.16. *If $A \in \Sigma_{J_{\vec{\alpha}}}$ and $A \leftrightarrow U$ in (2.31), then the $J_{\vec{\beta}}$ -self-adjoint operator $B = W_{\vec{\alpha}, \vec{\beta}} A W_{\vec{\alpha}, \vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$ ($\vec{\alpha} \neq -\vec{\beta}$) is determined by the formula*

$$B = S^* \upharpoonright \{g \in \mathcal{D}(S^*) : W_{\vec{\alpha}, \vec{\beta}} U W_{\vec{\alpha}, \vec{\beta}} (\mathcal{J}_{\vec{\beta}} \Gamma_1 + i\Gamma_0)g = (\mathcal{J}_{\vec{\beta}} \Gamma_1 - i\Gamma_0)g\},$$

where $W_{\vec{\alpha}, \vec{\beta}} = \mathcal{J}_{\frac{\vec{\alpha} + \vec{\beta}}{|\vec{\alpha} + \vec{\beta}|}}$ is a fundamental symmetry in \mathcal{H} .

Proof. Let $A \in \Sigma_{J_{\vec{\alpha}}}$. Then $B = W_{\vec{\alpha}, \vec{\beta}} A W_{\vec{\alpha}, \vec{\beta}} \in \Sigma_{J_{\vec{\beta}}}$ by Theorem 2.9 and, in view of Theorem 2.15,

$$B = S^* \upharpoonright \{g \in \mathcal{D}(S^*) : U'(\mathcal{J}_{\vec{\beta}} \Gamma_1 + i\Gamma_0)g = (\mathcal{J}_{\vec{\beta}} \Gamma_1 - i\Gamma_0)g\}, \tag{2.33}$$

where U' is a unitary operator in \mathcal{H} .

It follows from the definition of B that $f \in \mathcal{D}(A)$ if and only if $g = W_{\vec{\alpha}, \vec{\beta}} f \in \mathcal{D}(B)$. Hence, we can rewrite (2.33) with the use of (2.24):

$$\begin{aligned} U'(\mathcal{J}_{\vec{\beta}} \Gamma_1 + i\Gamma_0)g &= U' W_{\vec{\alpha}, \vec{\beta}} (\mathcal{J}_{\vec{\alpha}} \Gamma_1 + i\Gamma_0)f = \\ &= (\mathcal{J}_{\vec{\beta}} \Gamma_1 - i\Gamma_0)g = \\ &= W_{\vec{\alpha}, \vec{\beta}} (\mathcal{J}_{\vec{\alpha}} \Gamma_1 - i\Gamma_0)f, \end{aligned} \tag{2.34}$$

where $W_{\vec{\alpha}, \vec{\beta}} \Gamma_j = \Gamma_j W_{\vec{\alpha}, \vec{\beta}}$, $j = 0, 1$ (cf. (2.29)).

It follows from (2.23) that $W_{\vec{\alpha}, \vec{\beta}} = \mathcal{J}_{\frac{\vec{\alpha} + \vec{\beta}}{|\vec{\alpha} + \vec{\beta}|}}$ and hence, $W_{\vec{\alpha}, \vec{\beta}}$ is a fundamental symmetry in \mathcal{H} . Comparing (2.34) with (2.31), we arrive at the conclusion that $U' = W_{\vec{\alpha}, \vec{\beta}} U W_{\vec{\alpha}, \vec{\beta}}$. Corollary 2.16 is proved. \square

Corollary 2.17. *A $J_{\vec{\alpha}}$ -self-adjoint operator $A \in \Sigma_{J_{\vec{\alpha}}}$ commutes with $J_{\vec{\beta}}$, where $\vec{\alpha} \cdot \vec{\beta} = 0$ if and only if the corresponding unitary operator U in (2.31) satisfies the relation*

$$\mathcal{J}_{\vec{\beta}} U = U^{-1} \mathcal{J}_{\vec{\beta}}. \tag{2.35}$$

Proof. Assume that $\vec{\beta} \in \mathbb{S}^2$ and $\vec{\alpha} \cdot \vec{\beta} = 0$. Then $J_{\vec{\beta}}J_{\vec{\alpha}} = -J_{\vec{\alpha}}J_{\vec{\beta}}$ due to Lemma 2.3. Since $J_{\vec{\beta}}S^* = S^*J_{\vec{\beta}}$, the commutation relation $AJ_{\vec{\beta}} = J_{\vec{\beta}}A$ is equivalent to the condition

$$\forall f \in \mathcal{D}(A) \Rightarrow J_{\vec{\beta}}f \in \mathcal{D}(A). \tag{2.36}$$

Let $f \in \mathcal{D}(S^*)$. Recalling that $\mathcal{J}_{\vec{\beta}}\Gamma_j = \Gamma_jJ_{\vec{\beta}}$, we obtain

$$\begin{aligned} (\mathcal{J}_{\vec{\alpha}}\Gamma_1 + i\Gamma_0)J_{\vec{\beta}}f &= -\mathcal{J}_{\vec{\beta}}(\mathcal{J}_{\vec{\alpha}}\Gamma_1 - i\Gamma_0)f, \\ (\mathcal{J}_{\vec{\alpha}}\Gamma_1 - i\Gamma_0)J_{\vec{\beta}}f &= -\mathcal{J}_{\vec{\beta}}(\mathcal{J}_{\vec{\alpha}}\Gamma_1 + i\Gamma_0)f. \end{aligned}$$

Combining the last two relations with (2.31), we conclude that (2.36) is equivalent to the identity $\mathcal{J}_{\vec{\beta}}U^{-1}\mathcal{J}_{\vec{\beta}} = U$. Corollary 2.17 is proved. \square

Corollary 2.18. *A $J_{\vec{\alpha}}$ -self-adjoint operator $A \in \Sigma_{J_{\vec{\alpha}}}$ belongs to the subset Υ (see (2.7)) if and only if the corresponding unitary operator U in (2.31) satisfies the equality (2.35) for all $\vec{\beta} \in \mathbb{S}^2$ such that $\vec{\alpha} \cdot \vec{\beta} = 0$.*

Proof. Since U satisfies (2.35) for all $\vec{\beta} \in \mathbb{S}^2$ such that $\vec{\alpha} \cdot \vec{\beta} = 0$, the operator $A \in \Sigma_{J_{\vec{\alpha}}}$ commutes with an arbitrary $J_{\vec{\beta}}$ such that $J_{\vec{\alpha}}J_{\vec{\beta}} = -J_{\vec{\beta}}J_{\vec{\alpha}}$ (due to Lemma 2.3 and Corollary 2.17). In particular, the fundamental symmetry $J_{\vec{\gamma}} = iJ_{\vec{\alpha}}J_{\vec{\beta}}$ anti-commutes with $J_{\vec{\alpha}}$ and hence, $J_{\vec{\gamma}}A = AJ_{\vec{\gamma}}$. On the other hand, since $A \in \Sigma_{J_{\vec{\alpha}}}$, we have $J_{\vec{\alpha}}A = A^*J_{\vec{\alpha}}$ and

$$J_{\vec{\gamma}}A = iJ_{\vec{\alpha}}J_{\vec{\beta}}A = iJ_{\vec{\alpha}}AJ_{\vec{\beta}} = A^*iJ_{\vec{\alpha}}J_{\vec{\beta}} = A^*J_{\vec{\gamma}}.$$

Thus $AJ_{\vec{\gamma}} = A^*J_{\vec{\gamma}}$ and hence, $A = A^*$. This means that the self-adjoint extension $A \supset S$ commutes with all fundamental symmetries from the Clifford algebra $Cl_2(J, R)$. Therefore, $A \in \Upsilon$. \square

Corollary 2.19. *Let $A \in \Sigma_{J_{\vec{\alpha}}}$ be defined by (2.31) with $U = \mathcal{J}_{\vec{\gamma}}$, where $\vec{\gamma} \in \mathbb{S}^2$ is an arbitrary vector such that $\vec{\alpha} \cdot \vec{\gamma} = 0$. Then $\sigma(A) = \mathbb{C}$, i.e., A has empty resolvent set.*

Proof. Taking into account that $\mathcal{J}_{\vec{\alpha}}\mathcal{J}_{\vec{\gamma}} = -\mathcal{J}_{\vec{\gamma}}\mathcal{J}_{\vec{\alpha}}$ (since $\vec{\alpha} \cdot \vec{\gamma} = 0$), we rewrite the definition (2.31) of A :

$$A = S^* \upharpoonright \{f \in \mathcal{D}(S^*) : \mathcal{J}_{\vec{\alpha}}(\mathcal{J}_{\vec{\gamma}} + I)\Gamma_1f = i(\mathcal{J}_{\vec{\gamma}} + I)\Gamma_0f\}. \tag{2.37}$$

Since relation (2.35) holds when $U = \mathcal{J}_{\vec{\gamma}}$ and $\vec{\beta} = \vec{\gamma}$, the operator $A \in \Sigma_{J_{\vec{\alpha}}}$ commutes with $J_{\vec{\gamma}}$ (Corollary 2.17). Therefore (cf. (2.16)),

$$A = \begin{pmatrix} A_+ & 0 \\ 0 & A_- \end{pmatrix}, \quad A_+ = A \upharpoonright_{\mathfrak{H}_+^{\vec{\gamma}}}, \quad A_- = A \upharpoonright_{\mathfrak{H}_-^{\vec{\gamma}}} \tag{2.38}$$

with respect to the decomposition (2.12). Here $S_{\gamma+} \subseteq A_+ \subseteq S_{\gamma+}^*$ and $S_{\gamma-} \subseteq A_- \subseteq S_{\gamma-}^*$, where $S_{\gamma\pm} = S \upharpoonright_{\mathfrak{H}_{\pm}^{\vec{\gamma}}}$.

Denote $\mathcal{H}_+^{\vec{\gamma}} = \frac{1}{2}(I + \mathcal{J}_{\vec{\gamma}})\mathcal{H}$ and $\mathcal{H}_-^{\vec{\gamma}} = \frac{1}{2}(I - \mathcal{J}_{\vec{\gamma}})\mathcal{H}$. Then

$$\mathcal{H} = \mathcal{H}_+^{\vec{\gamma}} \oplus \mathcal{H}_-^{\vec{\gamma}} \tag{2.39}$$

and $(\mathcal{H}_\pm^\gamma, \Gamma_0, \Gamma_1)$ are boundary triplets of operators $S_{\gamma_\pm}^*$ (due to (2.29) and Lemma 2.11).

Let $f \in \mathcal{D}(S_{\gamma_+}^*)$. Then $\Gamma_j f \in \mathcal{H}_+^\gamma$, $j = 0, 1$ and the identity in (2.37) takes the form

$$\mathcal{J}_{\bar{\alpha}} \Gamma_1 f = i \Gamma_0 f. \tag{2.40}$$

Since $\mathcal{J}_{\bar{\alpha}} \mathcal{J}_{\bar{\gamma}} = -\mathcal{J}_{\bar{\gamma}} \mathcal{J}_{\bar{\alpha}}$, the operator $\mathcal{J}_{\bar{\alpha}}$ maps \mathcal{H}_+^γ onto \mathcal{H}_-^γ . Thus, (2.40) may only hold in the case where $\Gamma_0 f = \Gamma_1 f = 0$. Therefore, the operator A_+ in (2.38) coincides with S_+^γ .

Assume now $f \in \mathcal{D}(S_{\gamma_-}^*)$. Then $\Gamma_j f \in \mathcal{H}_-^\gamma$, $j = 0, 1$ and the identity in (2.37) vanishes (i.e., $0 = 0$). This means that $A_- = S_{\gamma_-}^*$. Therefore, $A = A_\gamma$, where A_γ is defined by (2.14) and $\sigma(A_\gamma) = \mathbb{C}$. □

2.5. THE RESOLVENT FORMULA

Let $\gamma(\mu) = (\Gamma_0 \upharpoonright_{\ker(S^* - \mu I)})^{-1}$ be the γ -field corresponding to the boundary triplet $(\mathcal{H}, \Gamma_0, \Gamma_1)$ of S^* with properties (2.26). Since $J_{\bar{\alpha}}$ maps $\ker(S^* - \mu I)$ onto $\ker(S^* - \mu I)$, formula (2.29) implies

$$\gamma(\mu) \mathcal{J}_{\bar{\alpha}} = J_{\bar{\alpha}} \gamma(\mu), \quad \forall \mu \in \mathbb{C} \setminus \mathbb{R}$$

for an arbitrary fundamental symmetry $J_{\bar{\alpha}} \in Cl_2(J, R)$.

Let $A_0 = S^* \upharpoonright_{\ker \Gamma_0}$. Then A_0 is a self-adjoint extension of S (due to the general properties of boundary triplets [9]). Moreover, it follows from (2.7) and Remark 2.13 that $A_0 \in \Upsilon$.

Proposition 2.20. *Let $(\mathcal{H}, \Gamma_0, \Gamma_1)$ be a boundary triplet of S^* with properties (2.26) and let $A \in \Sigma_{J_{\bar{\alpha}}}$ be defined by (2.31). Assume that A is disjoint with A_0 (i.e., $\mathcal{D}(A) \cap \mathcal{D}(A_0) = \mathcal{D}(S)$) and $\mu \in \rho(A) \cap \rho(A_0)$, then*

$$(A - \mu I)^{-1} = (A_0 - \mu I)^{-1} - \gamma(\mu)[M(\mu) - T]^{-1} \gamma^*(\bar{\mu}), \tag{2.41}$$

where $T = i \mathcal{J}_{\bar{\alpha}}(I + U)(I - U)^{-1}$ is a $\mathcal{J}_{\bar{\alpha}}$ -self-adjoint operator in the Krein space $(\mathcal{H}, [\cdot, \cdot]_{\mathcal{J}_{\bar{\alpha}}})$.

Proof. Since A and A_0 are disjoint, the unitary operator U which corresponds to the operator $A \in \Sigma_{J_{\bar{\alpha}}}$ in (2.31) satisfies the relation $\ker(I - U) = \{0\}$. This relation and (2.29) allow one to rewrite (2.31) as follows:

$$A = S^* \upharpoonright_{\{f \in \mathcal{D}(S^*) \mid T \Gamma_0 f = \Gamma_1 f\}}, \tag{2.42}$$

where $T = i \mathcal{J}_{\bar{\alpha}}(I + U)(I - U)^{-1}$ is a $\mathcal{J}_{\bar{\alpha}}$ -self-adjoint operator in the Krein space $(\mathcal{H}, [\cdot, \cdot]_{\mathcal{J}_{\bar{\alpha}}})$ (due to self-adjointness of $i(I + U)(I - U)^{-1}$). Repeating the standard arguments (see, e.g., [8, p.14]), we deduce (2.41) from (2.42). □

Remark 2.21. The condition of disjointness of A and A_0 in Proposition 2.20 is not essential and it is assumed for simplifying the exposition. In particular, this allows one to avoid operators A with empty resolvent set (see Corollary 2.19 and relation

(2.37)) for which the formula (2.41) has no sense. In the case of an arbitrary $A \in \Sigma_{J_{\vec{\alpha}}}$ with non-empty resolvent set, the formula (2.41) also remains true if we interpret T as a $J_{\vec{\alpha}}$ -self-adjoint relation in \mathcal{H} (see [12, Theorem 3.22] for a similar result and [6] for the basic definitions of linear relations theory).

3. THE CASE OF DEFICIENCY INDICES $\langle 2, 2 \rangle$

In what follows, the symmetric operator S has deficiency indices $\langle 2, 2 \rangle$.

1. Let $(\mathcal{H}, \Gamma_0, \Gamma_1)$ be a boundary triplet of S^* with properties (2.26) or, that is equivalent, with properties (2.29). Let us fix an arbitrary fundamental symmetry $\mathcal{J}_{\vec{\gamma}} \in \mathcal{Cl}_2(\mathcal{J}, \mathcal{R})$ and consider the decomposition $\mathcal{H} = \mathcal{H}_+^{\vec{\gamma}} \oplus \mathcal{H}_-^{\vec{\gamma}}$ constructed by $\mathcal{J}_{\vec{\gamma}}$ (see (2.39)). Then the Weyl function $M(\cdot)$ associated with $(\mathcal{H}, \Gamma_0, \Gamma_1)$ can be rewritten as

$$M(\cdot) = \begin{pmatrix} m_{++}(\cdot) & m_{+-}(\cdot) \\ m_{-+}(\cdot) & m_{--}(\cdot) \end{pmatrix}, \quad m_{xy}(\cdot) : \mathcal{H}_y^{\vec{\gamma}} \rightarrow \mathcal{H}_x^{\vec{\gamma}}, \quad x, y \in \{+, -\},$$

where $m_{xy}(\cdot)$ are scalar functions (since $\dim \mathcal{H} = 2$ and $\dim \mathcal{H}_{\pm}^{\vec{\gamma}} = 1$).

According to Lemma 2.14, $M(\cdot)$ commutes with every fundamental symmetry from $\mathcal{Cl}_2(\mathcal{J}, \mathcal{R})$. In particular, $\sigma_j M(\cdot) = M(\cdot) \sigma_j$ ($j = 1, 3$), where $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ are Pauli matrices. This is possible only in the case

$$m_{+-}(\cdot) = m_{-+}(\cdot) = 0, \quad m_{++}(\cdot) = m_{--}(\cdot),$$

i.e.,

$$M(\cdot) = m(\cdot)E, \tag{3.1}$$

where $m(\cdot) = m_{++}(\cdot) = m_{--}(\cdot)$ is a scalar function defined on $\mathbb{C} \setminus \mathbb{R}$ and E is the identity 2×2 -matrix.

Recalling that $(\mathcal{H}_+^{\vec{\gamma}}, \Gamma_0, \Gamma_1)$ is a boundary triplet of $S_{\gamma_+}^*$ (see the proof of Corollary 2.19) and taking into account the definition (2.30) of Weyl functions, we arrive at the conclusion that $m(\cdot)$ is the Weyl function of $S_{\gamma_+} = S \upharpoonright_{\mathcal{H}_+^{\vec{\gamma}}}$ associated with boundary triplet $(\mathcal{H}_+^{\vec{\gamma}}, \Gamma_0^+, \Gamma_1^+)$.

The following statement is proved.

Proposition 3.1. *Let $(\mathcal{H}, \Gamma_0, \Gamma_1)$ be a boundary triplet of S defined above. Then the Weyl function $M(\cdot)$ is defined by (3.1), where $m(\cdot)$ is the Weyl function of S_{γ_+} associated with boundary triplet $(\mathcal{H}_+^{\vec{\gamma}}, \Gamma_0, \Gamma_1)$. The function $m(\cdot)$ does not depend on the choice of $\vec{\gamma} \in \mathbb{S}^2$.*

2. Let $\vec{\alpha}, \vec{\beta} \in \mathbb{S}^2$ be linearly independent vectors. According to Corollary 2.7 all operators $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ are described by the formula (2.21). This means that spectra of these operators are completely characterized by the spectra of their counterparts A_+ in (2.21).

The operator A_+ is supposed to be an intermediate extension of S_{γ_+} . Two different situations may occur: 1. $A_+ = S_{\gamma_+}$ or $A_+ = S_{\gamma_+}^*$; 2. A_+ is a quasi-self-adjoint extension⁴⁾ of S , i.e., $S_{\gamma_+} \subset A_+ \subset S_{\gamma_+}^*$. In the first case, the operators $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ have empty resolvent set (Theorem 2.5); in the second case, the spectral properties of A_+ (and hence, A) are well known (see, e.g., [3, Theorem 1, Appendix I]). Summing up, we arrive at the following conclusion.

Proposition 3.2. *Let S be a simple symmetric operator with deficiency indices $\langle 2, 2 \rangle$ and $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$. Then or $\sigma(A) = \mathbb{C}$ or the spectrum of A consists of the spectral kernel of S and the set of eigenvalues which can have only real accommodation points.*

3. Denote by $\Xi_{\vec{\alpha}}$ the collection of all operators $A \in \Sigma_{J_{\vec{\alpha}}}$ with empty resolvent set:

$$\Xi_{\vec{\alpha}} = \{A \in \Sigma_{J_{\vec{\alpha}}} : \rho(A) = \emptyset\}$$

and by $\Xi_{\vec{\alpha}, \vec{\beta}}$ the pair of two operators $A_{\gamma(\vec{\beta})}$ and $A_{\gamma(\vec{\beta})}^*$ with empty resolvent set which are defined by (2.14) for a fixed $\vec{\alpha}$ and $\vec{\beta}$.

Theorem 3.3. *Assume that S is a symmetric operator with deficiency indices $\langle 2, 2 \rangle$ and its Weyl function (associated with an arbitrary boundary triplet) differs from constant on $\mathbb{C} \setminus \mathbb{R}$. Then*

$$\Xi_{\vec{\alpha}} = \bigcup_{\forall \vec{\beta} \in \mathbb{S}^2, \vec{\alpha} \cdot \vec{\beta} = 0} \Xi_{\vec{\alpha}, \vec{\beta}}. \tag{3.2}$$

Proof. By Theorem 2.5, $\Xi_{\vec{\alpha}} \supset \Xi_{\vec{\alpha}, \vec{\beta}}$ for all $\vec{\beta} \in \mathbb{S}^2$ such that $\vec{\alpha} \cdot \vec{\beta} = 0$. Therefore, $\Xi_{\vec{\alpha}} \supset \bigcup \Xi_{\vec{\alpha}, \vec{\beta}}$.

In the case of deficiency indices $\langle 2, 2 \rangle$ of S , the set $\Xi_{\vec{\alpha}}$ of all $J_{\vec{\alpha}}$ -self-adjoint extensions with empty resolvent set is described in [15]. We briefly outline the principal results.

Denote by $\mathfrak{N}_\mu = \ker(S^* - \mu I)$, $\mu \in \mathbb{C} \setminus \mathbb{R}$, the defect subspaces of S and consider the Hilbert space $\mathfrak{M} = \mathfrak{N}_i \dot{+} \mathfrak{N}_{-i}$ with the inner product

$$(x, y)_{\mathfrak{M}} = 2[(x_i, y_i) + (x_{-i}, y_{-i})],$$

where $x = x_i + x_{-i}$ and $y = y_i + y_{-i}$ with $x_i, y_i \in \mathfrak{N}_i$, $x_{-i}, y_{-i} \in \mathfrak{N}_{-i}$.

The operator Z that acts as identity operator I on \mathfrak{N}_i and minus identity operator $-I$ on \mathfrak{N}_{-i} is an example of fundamental symmetry in \mathfrak{M} . Other examples can be constructed due to the fact that S commutes with $J_{\vec{\beta}}$ for all $\vec{\beta} \in \mathbb{S}^2$. This means that the subspaces $\mathfrak{N}_{\pm i}$ reduce $J_{\vec{\beta}}$ and the restriction $J_{\vec{\beta}} \upharpoonright \mathfrak{M}$ gives rise to a fundamental symmetry in the Hilbert space \mathfrak{M} . Moreover, according to the properties of Z mentioned above, $J_{\vec{\beta}} Z = Z J_{\vec{\beta}}$ and $J_{\vec{\beta}} Z$ is a fundamental symmetry in \mathfrak{M} . Therefore, the sesquilinear form

$$[x, y]_{J_{\vec{\beta}} Z} = (J_{\vec{\beta}} Z x, y)_{\mathfrak{M}} = 2[(J_{\vec{\beta}} x_i, y_i) - (J_{\vec{\beta}} x_{-i}, y_{-i})]$$

defines an indefinite metric on \mathfrak{M} .

⁴⁾ This class includes self-adjoint extensions also.

According to the von-Neumann formulas, any closed intermediate extension A of S (i.e., $S \subseteq A \subseteq S^*$) is uniquely determined by the choice of a subspace $M \subset \mathfrak{M}$:

$$A = S^* \upharpoonright_{\mathcal{D}(A)}, \quad \mathcal{D}(A) = \mathcal{D}(S) \dot{+} M. \tag{3.3}$$

In particular, $J_{\vec{\beta}}$ -self-adjoint extensions A of S correspond to *hypermaximal neutral subspaces* M with respect to $[\cdot, \cdot]_{J_{\vec{\beta}}Z}$. This means that $A \in \Sigma_{J_{\vec{\alpha}}} \cap \Sigma_{J_{\vec{\beta}}}$ if and only if the corresponding subspace M in (3.3) is *simultaneously hypermaximal neutral with respect to two different indefinite metrics* $[\cdot, \cdot]_{J_{\vec{\alpha}}Z}$ and $[\cdot, \cdot]_{J_{\vec{\beta}}Z}$.

Without loss of generality we assume that $J_{\vec{\alpha}}$ coincides with J in (2.3), i.e., $\vec{\alpha} = (1, 0, 0)$. Then fundamental symmetries $J_{\vec{\beta}}$ which anti-commute with J have the form

$$J_{\vec{\beta}} = \beta_2 R + \beta_3 iJR, \quad \beta_2^2 + \beta_3^2 = 1. \tag{3.4}$$

To specify M we consider an orthonormal basis $\{e_{++}, e_{+-}, e_{-+}, e_{--}\}$ of \mathfrak{M} which satisfies the relations

$$\begin{aligned} Ze_{++} &= e_{++}, & Ze_{+-} &= e_{+-}, & Ze_{-+} &= -e_{-+}, & Ze_{--} &= -e_{--}, \\ J_{\vec{\alpha}}e_{++} &= e_{++}, & J_{\vec{\alpha}}e_{+-} &= -e_{+-}, & J_{\vec{\alpha}}e_{-+} &= e_{-+}, & J_{\vec{\alpha}}e_{--} &= -e_{--}, \\ Re_{++} &= e_{+-}, & Re_{+-} &= e_{++}, & Re_{--} &= e_{-+}, & Re_{-+} &= e_{--}. \end{aligned} \tag{3.5}$$

The existence of this basis was established in [2] and it was used in [15, Corollary 3.2] to describe the collection of all M in (3.3) which correspond to J -self-adjoint extensions of S with empty resolvent set. Such a description depends on properties of Weyl function of S . In particular, if the Weyl function differs from the constant for a fixed boundary triplet, then this property remains true for Weyl functions associated with an arbitrary boundary triplet of S . Then, using relations (2.7)–(2.9) in [15], we deduce that the Straus characteristic function of S (see [18]) differs from the zero-function on $\mathbb{C} \setminus \mathbb{R}$. In this case, Corollary 3.2 in [15] says that a J -self-adjoint extension A has empty resolvent set if and only if the corresponding subspace M coincides with linear span $M = \text{span}\{d_1, d_2\}$, where $d_1 = e_{++} + e^{i\gamma}e_{+-}$, $d_2 = e_{--} + e^{-i\gamma}e_{-+}$, and $\gamma \in [0, 2\pi)$ is an arbitrary parameter.

The operator A will belong to $\Sigma_{J_{\vec{\beta}}}$ if and only if the subspace $M = \text{span}\{d_1, d_2\}$ turns out to be hypermaximal neutral with respect to $[\cdot, \cdot]_{J_{\vec{\beta}}Z}$. Since $\dim M = 2$ and $\dim \mathfrak{M} = 4$, it suffices to check the neutrality of M . The last condition is equivalent to the relations

$$[d_1, d_2]_{J_{\vec{\beta}}Z} = 0, \quad [d_1, d_1]_{J_{\vec{\beta}}Z} = 0, \quad [d_2, d_2]_{J_{\vec{\beta}}Z} = 0.$$

Using (3.4), (3.5), and remembering the orthogonality of $e_{\pm, \pm}$ in \mathfrak{M} , we establish that $[d_1, d_2]_{J_{\vec{\beta}}Z} = 0$ for all $\gamma \in [0, 2\pi)$. The next two conditions are transformed to the linear equation

$$(\cos \gamma)\beta_2 - (\sin \gamma)\beta_3 = 0, \tag{3.6}$$

which has the nontrivial solution $\beta_2 = \sin \gamma$, $\beta_3 = \cos \gamma$ for any $\gamma \in [0, 2\pi)$. This means that an arbitrary J -self-adjoint extension A with empty resolvent set is also a $J_{\vec{\beta}}$ -self-adjoint operator under choosing β_2 and β_3 in (3.4) as solutions of (3.6). Theorem 3.3 is proved. \square

Corollary 3.4. *Let S be a symmetric operator with deficiency indices $\langle 2, 2 \rangle$ and let $(\mathcal{H}, \Gamma_0, \Gamma_1)$ be a boundary triplet of S^* with properties (2.26). If the Weyl function of S differs from constant on $\mathbb{C} \setminus \mathbb{R}$, then the set $\Xi_{\vec{\alpha}}$ is described by (2.31) where U runs the set of all fundamental symmetries $\mathcal{J}_{\vec{\beta}} \in Cl_2(\mathcal{J}, \mathcal{R})$ such that $\vec{\alpha} \cdot \vec{\beta} = 0$.*

Proof. It follows from Corollary 2.19 and Theorem 3.3. □

Theorem 3.3 and Corollary 3.4 are not true when the Weyl function of S is a constant. In that case, the set $\Xi_{\vec{\alpha}}$ of $J_{\vec{\alpha}}$ -self-adjoint extensions increases considerably and $\Xi_{\vec{\alpha}} \supset \bigcup \Xi_{\vec{\alpha}, \vec{\beta}}$.

Corollary 3.5. *Let S be a simple symmetric operator with deficiency indices $\langle 2, 2 \rangle$. Then the following statements are equivalent:*

(i) *the strict inclusion*

$$\Xi_{\vec{\alpha}} \supset \bigcup_{\forall \vec{\beta} \in \mathbb{S}^2, \vec{\alpha} \cdot \vec{\beta} = 0} \Xi_{\vec{\alpha}, \vec{\beta}}$$

holds,

- (ii) *the Weyl function $M(\cdot)$ of S is a constant on $\mathbb{C} \setminus \mathbb{R}$,*
- (iii) *S is unitarily equivalent to the symmetric operator in $L_2(\mathbb{R}, \mathbb{C}^2)$:*

$$S' = i \frac{d}{dx}, \quad \mathcal{D}(S') = \{u \in W_2^1(\mathbb{R}, \mathbb{C}^2) : u(0) = 0\}. \tag{3.7}$$

Proof. Assume that the Weyl function $M(\cdot)$ of S is a constant. By (3.1), the Weyl function $m(\cdot)$ of $S_{\gamma+} = S \upharpoonright_{\mathfrak{H}_{\gamma+}}$ is also constant. This means that the Straus characteristic function of the simple symmetric operator $S_{\gamma+}$ with deficiency indices $\langle 1, 1 \rangle$ is zero on $\mathbb{C} \setminus \mathbb{R}$ (see the proof of Theorem 3.3). Therefore, $S_{\gamma+}$ is unitarily equivalent to the symmetric operator $S'_+ = i \frac{d}{dx}$, $\mathcal{D}(S'_+) = \{u \in W_2^1(\mathbb{R}) : u(0) = 0\}$ in $L_2(\mathbb{R})$ [16, Subsection 3.4].

Recalling the decomposition (2.13) of S , where the simple symmetric operator $S_{\gamma-} = S \upharpoonright_{\mathfrak{H}_{\gamma-}}$ also has deficiency indices $\langle 1, 1 \rangle$ and zero characteristic function, we conclude that S is unitarily equivalent to the symmetric operator S' defined by (3.7). This establishes the equivalence of (ii) and (iii).

Assume again that the Weyl function of S is a constant. Then the Straus characteristic function of S is zero. In that case, Corollary 3.2 in [15] yields that $A \in \Xi_{\vec{\alpha}}$ if and only if the corresponding subspace M in (3.3) coincides with linear span

$$M = \text{span}\{d_1, d_2\}, \quad d_1 = e_{++} + e^{i(\phi+\gamma)}e_{+-}, \quad d_2 = e_{--} + e^{i(\phi-\gamma)}e_{-+}, \tag{3.8}$$

where $\phi, \gamma \in [0, 2\pi)$ are *two arbitrary parameters*. Thus the set $\Xi_{\vec{\alpha}}$ is described by two independent parameters ϕ and γ .

Due to the proof of Theorem 3.3, the operator $A \in \Xi_{\vec{\alpha}}$ belongs to the subset $\Xi_{\vec{\alpha}, \vec{\beta}}$ if and only if the subspace M in (3.8) is neutral with respect to $[\cdot, \cdot]_{J_{\vec{\beta}}}Z$. Repeating the argumentation above, we conclude that the neutrality of M is equivalent to the existence of nontrivial solution β_2, β_3 of the system (cf. (3.6))

$$\begin{cases} \cos(\phi + \gamma)\beta_2 - \sin(\phi + \gamma)\beta_3 = 0, \\ \cos(\phi - \gamma)\beta_2 + \sin(\phi - \gamma)\beta_3 = 0. \end{cases} \tag{3.9}$$

The determinant of (3.9) is $\sin 2\phi$. Therefore, there are no nontrivial solutions for $\phi \notin \{0, \frac{\pi}{2}, \pi, \frac{3\pi}{2}\}$. This means the existence of operators $A \in \Xi_{\bar{\alpha}}$ which, simultaneously, do not belong to $\bigcup \Xi_{\bar{\alpha}, \bar{\beta}}$. Thus, we establish the equivalence of (ii) and (i). Corollary 3.5 is proved. \square

4. Consider the one-dimensional Schrödinger differential expression

$$l(\phi)(x) = -\phi''(x) + q(x)\phi(x), \quad x \in \mathbb{R}, \tag{3.10}$$

where q is an *even* real-valued measurable function that has a non-integrable singularity at zero and is integrable on every finite subinterval of $\mathbb{R} \setminus \{0\}$.

Assume in what follows that the potential $q(x)$ is in the limit point case at $x \rightarrow \pm\infty$ and is in the limit-circle case at $x = 0$. Denote by \mathcal{D} the set of all functions $\phi(x) \in L_2(\mathbb{R})$ such that ϕ and ϕ' are absolutely continuous on every finite subinterval of $\mathbb{R} \setminus \{0\}$ and $l(\phi) \in L_2(\mathbb{R})$. On \mathcal{D} we define the operator L as follows:

$$L\phi = l(\phi), \quad \forall \phi \in \mathcal{D}.$$

The operator L commutes with the space parity operator $\mathcal{P}\phi(x) = \phi(-x)$ and with the operator of multiplication by $(\text{sgn } x)I$. These operators are anti-commuting fundamental symmetries in $L_2(\mathbb{R})$. Therefore, L commutes with elements of the Clifford algebra $\mathcal{Cl}_2(\mathcal{P}, (\text{sgn } x)I)$. However, L is not a symmetric operator.

Denote for brevity $J_{\bar{\gamma}} = (\text{sgn } x)I$. Then, the decomposition (2.12) takes the form $L_2(\mathbb{R}) = L_2(\mathbb{R}_+) \oplus L_2(\mathbb{R}_-)$ and with respect to it

$$L = \begin{pmatrix} L_+ & 0 \\ 0 & \mathcal{P}L_+\mathcal{P} \end{pmatrix}, \quad L_+ = L \upharpoonright_{L_2(\mathbb{R}_+)}. \tag{3.11}$$

The operator L_+ is the maximal operator for differential expression $l(\phi)$ considered on semi-axes $\mathbb{R}_+ = (0, \infty)$. Denote by S_+ the minimal operator generated $l(\phi)$ in $L_2(\mathbb{R}_+)$. The symmetric operator S_+ has deficiency indices $\langle 1, 1 \rangle$.

Let $(\mathbb{C}, \Gamma_0^+, \Gamma_1^+)$ be an arbitrary boundary triplet of $L_+ = S_+^*$ in $L_2(\mathbb{R}_+)$. Then, the boundary triplet $(\mathbb{C}^2, \Gamma_0, \Gamma_1)$ determined by (2.27) with $J_{\bar{\gamma}} = \mathcal{P}$ and $N = \mathbb{C}$ is a boundary triplet of $L = S^*$ in the space $L_2(\mathbb{R})$. Here $S = \begin{pmatrix} S_+ & 0 \\ 0 & \mathcal{P}S_+\mathcal{P} \end{pmatrix}$ is the symmetric operator in $L_2(\mathbb{R})$ (cf. (3.11)) with deficiency indices $\langle 2, 2 \rangle$.

Let $J_{\bar{\alpha}}$ be an arbitrary fundamental symmetry from $\mathcal{Cl}_2(\mathcal{P}, (\text{sgn } x)I)$. By Theorem 2.15, $J_{\bar{\alpha}}$ -self-adjoint extensions $A \in \Sigma_{J_{\bar{\alpha}}}$ of S are defined as the restrictions of L :

$$A = L \upharpoonright \{f \in \mathcal{D} : U(J_{\bar{\alpha}}\Gamma_1 + i\Gamma_0)f = (J_{\bar{\alpha}}\Gamma_1 - i\Gamma_0)f\}, \tag{3.12}$$

where U runs the set of 2×2 -unitary matrices. The operators A can be interpreted as $J_{\bar{\alpha}}$ -self-adjoint operator realizations of differential expression (3.10) in $L_2(\mathbb{R})$.

Since the sets $\Sigma_{J_{\bar{\alpha}}}$ are unitarily equivalent for different $\bar{\alpha} \in \mathbb{S}^2$ (Theorem 2.9) one can set $J_{\bar{\alpha}} = \mathcal{P}$ for definiteness.

Proposition 3.6. *The collection of all \mathcal{P} -self-adjoint extensions $A \in \Sigma_{\mathcal{P}}$ with empty resolvent set coincides with the restrictions of L onto the sets of functions $f \in \mathcal{D}$ satisfying the condition*

$$\begin{pmatrix} i \sin \theta & 1 - \cos \theta \\ 1 + \cos \theta & -i \sin \theta \end{pmatrix} \Gamma_1 f = i \begin{pmatrix} 1 + \cos \theta & -i \sin \theta \\ i \sin \theta & 1 - \cos \theta \end{pmatrix} \Gamma_0 f, \quad \forall \theta \in [0, 2\pi).$$

Proof. Since $J_{\overline{\tau}} = \mathcal{P}$ and $J_{\overline{\gamma}} = (\text{sgn } x)I$, relations (2.26), (2.28) mean that $\sigma_1 \Gamma_j = \Gamma_j \mathcal{P}$ and $\sigma_3 \Gamma_j = \Gamma_j (\text{sgn } x)I$ ($j = 0, 1$), where σ_1 and σ_3 are Pauli matrices. Therefore, the ‘image’ of the Clifford algebra $\mathcal{Cl}_2(\mathcal{P}, (\text{sgn } x)I)$ coincides with $\mathcal{Cl}_2(\sigma_1, \sigma_3)$ in \mathbb{C}^2 (see Remark 2.13).

The fundamental symmetries $\mathcal{J}_{\overline{\beta}} \in \mathcal{Cl}_2(\sigma_1, \sigma_3)$ anti-commuting with σ_1 have the form $\mathcal{J}_{\overline{\beta}} = \beta_2 \sigma_2 + \beta_3 \sigma_3$, $\beta_2^2 + \beta_3^2 = 1$, where $\sigma_2 = i\sigma_1 \sigma_3$. Hence,

$$\mathcal{J}_{\overline{\beta}} = \begin{pmatrix} \beta_3 & -i\beta_2 \\ i\beta_2 & -\beta_3 \end{pmatrix} = \begin{pmatrix} \cos \theta & -i \sin \theta \\ i \sin \theta & -\cos \theta \end{pmatrix}, \quad \theta \in [0, 2\pi). \tag{3.13}$$

Here, we set $\beta_3 = \cos \theta$ and $\beta_2 = \sin \theta$ (since $\beta_2^2 + \beta_3^2 = 1$). Applying Corollary 3.4 and rewriting (2.31) in the form (2.37) with $\mathcal{J}_{\overline{\alpha}} = \sigma_1$, $\mathcal{J}_{\overline{\gamma}} = \mathcal{J}_{\overline{\beta}}$ (here $\mathcal{J}_{\overline{\beta}}$ is determined by (3.13)), we complete the proof of Proposition 3.6. □

Remark 3.7. To apply Proposition 3.6 for concrete potentials $q(x)$ in (3.13) one needs only to construct a boundary triplet $(\mathbb{C}^2, \Gamma_0, \Gamma_1)$ of L with the help of a boundary triplet $(\mathbb{C}, \Gamma_0^+, \Gamma_1^+)$ of the differential expression (3.10) on semi-axis \mathbb{R}_+ (see (2.27)). To do that one can use [14], where simple explicit formulas for operators Γ_j^+ constructed in terms of asymptotic behavior of $q(x)$ as $x \rightarrow 0$ were obtained for great number of singular potentials.

Acknowledgements

The first author (S.K.) expresses his gratitude to the DFG (project AL 214/33-1) and JRP IZ73Z0 (28135) of SCOPES 2009–2012 for the support.

REFERENCES

[1] A. Zafar, *Pseudo-Hermiticity of Hamiltonians under gauge-like transformation: real spectrum of non-Hermitian Hamiltonians*, Phys. Lett. A **294** (2002), 287–291.
 [2] S. Albeverio, U. Günther, S. Kuzhel, *J-Self-adjoint operators with C-symmetries: Extension Theory Approach*, J. Phys. A. **42** (2009) 105205 (22 pp).
 [3] N.I. Akhiezer, I.M. Glazman, *Theory of Linear Operators in Hilbert Space*, Dover, New York, 1993.
 [4] T.Ya. Azizov, I.S. Iokhvidov, *Linear Operators in Spaces with an Indefinite Metric*, John Wiley & Sons, Chichester, 1989.
 [5] C.M. Bender, *Making sense of non-Hermitian Hamiltonians*, Rep. Prog. Phys. **70** (2007), 947–1018.

- [6] J. Bruening, V. Geyler, K. Pankrashkin, *Spectra of self-adjoint extensions and applications to solvable Schroedinger operators*, Rev. Math. Phys. **20** (2008), 1–70.
- [7] J.W. Calkin, *Abstract symmetric boundary conditions*, Trans. Am. Math. Soc. **45** (1939), 369–442.
- [8] V.A. Derkach, M.M. Malamud, *Generalized resolvents and the boundary value problems for Hermitian operators with gaps*, J. Funct. Anal. **95** (1991), 1–95.
- [9] M.L. Gorbachuk, V.I. Gorbachuk, *Boundary-Value Problems for Operator-Differential Equations*, Kluwer, Dordrecht, 1991.
- [10] A. Grod, S. Kuzhel, V. Sudilovskaya, *On operators of transition in Krein spaces*, Opuscula Math. **31** (2011), 49–59.
- [11] U. Günther, S. Kuzhel, *\mathcal{PT} -symmetry, Cartan decompositions, Lie triple systems and Krein space-related Clifford algebras*, J. Phys. A: Math. Theor. **43** (2010) 392002 (10 pp).
- [12] S. Hassi, S. Kuzhel, *On J -self-adjoint operators with stable C -symmetry*, arXiv: 1101.0046v1 [math.FA], 30 Dec. 2010.
- [13] A.N. Kochubei, *On extensions of J -symmetric operators*, Theory of Functions, Functional Analysis and Applications, Issue **31** (1979), 74–80 [in Russian].
- [14] A.N. Kochubei, *Self-adjoint extensions of a Schrodinger operator with singular potential*, Sib. Math. J. **32** (1991), 401–409.
- [15] S. Kuzhel, C. Trunk, *On a class of J -self-adjoint operators with empty resolvent set*, J. Math. Anal. Appl. **379** (2011), 272–289.
- [16] S. Kuzhel, O. Shapovalova, L. Vavrykovich, *On J -self-adjoint extensions of the Phillips symmetric operator*, Meth. Func. Anal. Topology, **16** (2010), 333–348.
- [17] A. Mostafazadeh, *On the pseudo-Hermiticity of a class of PT -symmetric Hamiltonians in one dimension*, Mod. Phys. Lett. A **17** (2002), 1973–1977.
- [18] A.V. Straus, *On extensions and characteristic function of symmetric operator*, Izv. Akad. Nauk SSSR, Ser. Mat **32** (1968), 186–207 [in Russian]; English translation: Math. USSR Izv. **2** (1968), 181–204.

S. Kuzhel
kuzhel@mat.agh.edu.pl

AGH University of Science and Technology
Faculty of Applied Mathematics
al. Mickiewicza 30, 30-059 Krakow, Poland

Olexiy Patsyuck
patsuk86@inbox.ru

National Academy of Sciences of Ukraine
Institute of Mathematics
3 Tereshchenkivska Street, 01601, Kiev-4, Ukraine

Received: March 17, 2011.

Revised: September 15, 2011.

Accepted: September 19, 2011.