

# INFINITELY MANY SOLUTIONS FOR SOME NONLINEAR SUPERCRITICAL PROBLEMS WITH BREAK OF SYMMETRY

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*Communicated by Giovanni Molica Bisci*

**Abstract.** In this paper, we prove the existence of infinitely many weak bounded solutions of the nonlinear elliptic problem

$$\begin{cases} -\operatorname{div}(a(x, u, \nabla u)) + A_t(x, u, \nabla u) = g(x, u) + h(x) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $\Omega \subset \mathbb{R}^N$  is an open bounded domain,  $N \geq 3$ , and  $A(x, t, \xi)$ ,  $g(x, t)$ ,  $h(x)$  are given functions, with  $A_t = \frac{\partial A}{\partial t}$ ,  $a = \nabla_\xi A$ , such that  $A(x, \cdot, \cdot)$  is even and  $g(x, \cdot)$  is odd. To this aim, we use variational arguments and the Rabinowitz's perturbation method which is adapted to our setting and exploits a weak version of the Cerami–Palais–Smale condition. Furthermore, if  $A(x, t, \xi)$  grows fast enough with respect to  $t$ , then the nonlinear term related to  $g(x, t)$  may have also a supercritical growth.

**Keywords:** quasilinear elliptic equation, weak Cerami–Palais–Smale condition, Ambrosetti–Rabinowitz condition, break of symmetry, perturbation method, supercritical growth.

**Mathematics Subject Classification:** 35J20, 35J62, 35J66, 58E05.

## 1. INTRODUCTION

During the past years there has been a considerable amount of research in obtaining multiple critical points of functionals such as

$$\mathcal{J}(u) = \int_{\Omega} A(x, u, \nabla u) dx - \int_{\Omega} F(x, u) dx, \quad u \in \mathcal{D},$$

where  $\mathcal{D}$  is a subset of a suitable Sobolev space,  $A : \Omega \times \mathbb{R} \times \mathbb{R}^N \rightarrow \mathbb{R}$  and  $F : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  are given functions with  $\Omega \subset \mathbb{R}^N$  open bounded domain,  $N \geq 3$ .

A family of model problems is given by

$$A(x, t, \xi) = \sum_{i,j=1}^N a_{i,j}(x, t)\xi_i\xi_j$$

with  $(a_{i,j}(x, t))_{i,j}$  elliptic matrix. In particular, if  $a_{i,j}(x, t) = \frac{1}{2}\delta_i^j \bar{A}(x, t)$  for a given function  $\bar{A} : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ , then it is  $A(x, t, \xi) = \frac{1}{2}\bar{A}(x, t)|\xi|^2$ .

In the simplest case  $A(x, t, \xi) = \frac{1}{2}|\xi|^2$ , functional  $\mathcal{J}$ , defined on  $\mathcal{D} = H_0^1(\Omega)$ , is the standard action functional associated to the classical semilinear elliptic problem

$$\begin{cases} -\Delta u = f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

with  $f(x, t) = \frac{\partial F}{\partial t}(x, t)$ . If  $F(x, t)$  has a subcritical growth with respect to  $t$  and verifies other suitable assumptions, existence and multiplicity of critical points of the  $C^1$  functional  $\mathcal{J}$  have been widely studied by many authors in the last sixty years (see [23, 25] and references therein).

On the other hand, when  $A(x, t, \xi) = \frac{1}{2}\bar{A}(x, t)|\xi|^2$ , with  $\bar{A}(x, t)$  smooth, bounded, far away from zero but  $\bar{A}_t(x, t) \not\equiv 0$ , even if  $F(x, t) \equiv 0$ , the corresponding functional

$$\bar{\mathcal{J}}_0(u) = \frac{1}{2} \int_{\Omega} \bar{A}(x, u) |\nabla u|^2 dx$$

is defined in  $H_0^1(\Omega)$  but is Gâteaux differentiable only along directions which are in  $H_0^1(\Omega) \cap L^\infty(\Omega)$ .

In the beginning, such a problem has been overcome by introducing suitable definitions of critical point and related existence results have been stated (see, e.g., [2, 3, 17, 21]). More recently, it has been proved that suitable assumptions assure that functional  $\mathcal{J}$  is  $C^1$  in the Banach space  $X = H_0^1(\Omega) \cap L^\infty(\Omega)$  equipped with the norm  $\|\cdot\|_X$  given by the sum of the classical norms  $\|\cdot\|_H$  on  $H_0^1(\Omega)$  and  $|\cdot|_\infty$  in  $L^\infty(\Omega)$  (see [7] if  $A(x, t, \xi) = \frac{1}{2}\bar{A}(x, t)|\xi|^2$  and [8] in the general case). Furthermore, its critical points in  $X$  are weak bounded solutions of the quasilinear elliptic problem

$$\begin{cases} -\operatorname{div}(a(x, u, \nabla u)) + A_t(x, u, \nabla u) = f(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

with

$$A_t(x, t, \xi) = \frac{\partial A}{\partial t}(x, t, \xi), \quad a(x, t, \xi) = \left( \frac{\partial A}{\partial \xi_1}(x, t, \xi), \dots, \frac{\partial A}{\partial \xi_N}(x, t, \xi) \right). \quad (1.1)$$

In order to study the set of critical points of a  $C^1$  functional  $J$  on a Banach space  $(Y, \|\cdot\|_Y)$ , but avoiding global compactness assumptions, Palais and Smale introduced the following condition (see [20]).

**Definition 1.1.** A functional  $J$  satisfies the *Palais–Smale condition at level  $\beta$*  ( $\beta \in \mathbb{R}$ ), briefly  $(PS)_\beta$  condition, if any  $(PS)_\beta$ -sequence, i.e., any sequence  $(u_n)_n \subset Y$  such that

$$\lim_{n \rightarrow +\infty} J(u_n) = \beta \quad \text{and} \quad \lim_{n \rightarrow +\infty} \|dJ(u_n)\|_{Y'} = 0,$$

converges in  $Y$ , up to subsequences.

We note that if  $J$  satisfies  $(PS)_\beta$  condition, the set of the critical points of  $J$  at level  $\beta$  is compact.

Later on, in [18] Cerami weakened such a definition by allowing a sequence to go to infinity but only if the gradient of the functional goes to zero “not too slowly”.

**Definition 1.2.** A functional  $J$  satisfies the *Cerami’s variant of Palais–Smale condition at level  $\beta$*  ( $\beta \in \mathbb{R}$ ), briefly  $(CPS)_\beta$  condition, if any  $(CPS)_\beta$ -sequence, i.e., any sequence  $(u_n)_n \subset Y$  such that

$$\lim_{n \rightarrow +\infty} J(u_n) = \beta \quad \text{and} \quad \lim_{n \rightarrow +\infty} \|dJ(u_n)\|_{Y'}(1 + \|u_n\|_Y) = 0,$$

converges in  $Y$ , up to subsequences.

Unfortunately, our functional  $\mathcal{J}$  in  $X$  may have unbounded Palais–Smale sequences (see [11, Example 4.3]). Anyway, since  $X$  is equipped with two different norms, namely  $\|\cdot\|_X$  and  $\|\cdot\|_H$ , according to the ideas already developed in previous papers (see, e.g., [7, 9, 11]) a weaker version of  $(CPS)$  condition can be introduced when the Banach space  $Y$  is equipped with a second norm  $\|\cdot\|_*$  such that  $(Y, \|\cdot\|_Y)$  is continuously imbedded in  $(Y, \|\cdot\|_*)$ .

**Definition 1.3.** A functional  $J$  satisfies a *weak version of the Cerami’s variant of Palais–Smale condition at level  $\beta$*  ( $\beta \in \mathbb{R}$ ), briefly  $(wCPS)_\beta$  condition, if for every  $(CPS)_\beta$ -sequence  $(u_n)_n$  a point  $u \in Y$  exists such that

- (i)  $\lim_{n \rightarrow \infty} \|u_n - u\|_* = 0$  (up to subsequences),
- (ii)  $J(u) = \beta, dJ(u) = 0$ .

If  $J$  satisfies the  $(wCPS)_\beta$  condition at each level  $\beta \in I$ ,  $I$  real interval, we say that  $J$  satisfies the  $(wCPS)$  condition in  $I$ .

We note that if  $\beta \in \mathbb{R}$  is such that  $(wCPS)_\beta$  condition holds, then  $\beta$  is a critical level if a  $(CPS)_\beta$ -sequence exists, furthermore the set of the critical points of  $J$  at level  $\beta$  is compact but with respect to the weaker norm  $\|\cdot\|_*$ .

Moreover,  $(wCPS)_\beta$  condition is enough for proving a Deformation Lemma (see [9, Lemma 2.3]) and extending some critical point theorems (see [15]), but, contrary to the classical  $(CPS)$  condition, it is not sufficient for finding multiple critical points if they occur at the same critical level. We remark that such a problem is avoided by replacing  $(CPS)_\beta$ -sequences with  $(PS)_\beta$ -sequences in Definition 1.3 and then a more general Deformation Lemma can be stated (see [11, Proposition 2.4]).

If  $F(x, t)$  grows as  $|t|^q$  with  $2 < q < 2^*$  and satisfies the Ambrosetti–Rabinowitz condition, then it is possible to find at least one critical point, or infinitely many ones if  $\mathcal{J}$  is even, by applying a suitable version of the Mountain Pass Theorem, or its symmetric variant (see [7, 8] and, for the abstract setting, [9]). Such results still hold if  $F(x, t)$  has a suitable supercritical growth but function  $A(x, t, \xi)$  satisfies “good” growth assumptions (see [15] and, for a different type of supercritical problems, see, e.g., [1]).

Furthermore, the existence of multiple critical points has been stated in [10, 11, 14] for different sets of hypotheses on  $F(x, t)$ .

We note that all the previous results still hold if  $A(x, t, \xi)$  increases as  $|\xi|^p$  for any  $p > 1$ .

More recently, infinitely many critical points have been found in break of symmetry if  $A(x, t, \xi) = \frac{1}{2}\bar{A}(x, t)|\xi|^2$  and  $F(x, t) = G(x, t) + h(x)t$ , with  $\bar{A}(x, \cdot)$  and  $G(x, \cdot)$  even (see [16]).

In order to give an idea of the difficulties which arise dealing with functional  $\mathcal{J}$  in  $X$ , in this paper we extend the result in [16] to a more general term  $A(x, t, \xi)$  which increases as  $|\xi|^2$ .

More precisely, we look for weak bounded solutions of the nonlinear elliptic problem

$$\begin{cases} -\operatorname{div}(a(x, u, \nabla u)) + A_t(x, u, \nabla u) = g(x, u) + h(x) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{1.2}$$

where  $\Omega \subset \mathbb{R}^N$  is an open bounded domain,  $N \geq 3$ , and  $A : \Omega \times \mathbb{R} \times \mathbb{R}^N \rightarrow \mathbb{R}$ ,  $g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$ ,  $h : \Omega \rightarrow \mathbb{R}$  are given functions, with  $A(x, \cdot, \cdot)$  even and  $g(x, \cdot)$  odd.

Hence, as already remarked, under suitable assumptions for  $A(x, t, \xi)$ ,  $g(x, t)$  and  $h(x)$ , we study the existence of infinitely many critical points of the  $C^1$  functional

$$\mathcal{J}(u) = \int_{\Omega} A(x, u, \nabla u)dx - \int_{\Omega} G(x, u)dx - \int_{\Omega} h u dx, \quad u \in X, \tag{1.3}$$

with  $G(x, t) = \int_0^t g(x, s)ds$ .

If  $h(x) \equiv 0$ , functional  $\mathcal{J}$  in (1.3) reduces to the even map

$$\mathcal{J}_0(u) = \int_{\Omega} A(x, u, \nabla u)dx - \int_{\Omega} G(x, u)dx, \quad u \in X. \tag{1.4}$$

If  $h(x) \not\equiv 0$  the symmetry is broken. Anyway, some perturbation methods, introduced in the classical case  $A(x, t, \xi) \equiv \frac{1}{2}|\xi|^2$ , allow one to prove the existence of infinitely many critical points also for a not-even functional (see [4, 5, 22, 24]). Here, we prove a multiplicity result for our functional  $\mathcal{J}$  by adapting to our setting the Rabinowitz’s perturbation method in [22].

As our main theorem needs a list of hypotheses, we will give its complete statement in Section 2 (see Theorem 2.6). Anyway, we point out that, as in [15, 16], if function  $A(x, t, \xi)$  satisfies “good” growth assumptions then the nonlinear term  $G(x, t)$  can have also a supercritical growth. Moreover, in the particular case  $G(x, t) = \frac{1}{q}|t|^q$ , the interval of variability for  $q$  is larger than the one found by Tanaka in [26] (see Remark 2.9).

This paper is organized as follows. In Section 2, we introduce the hypotheses for  $A(x, t, \xi)$ ,  $G(x, t)$  and  $h(x)$ , we give the variational formulation of our problem and state our main result. Then, in Section 3 we introduce the perturbation method and in Section 4 we prove that  $\mathcal{J}$  satisfies a weak version of the Cerami–Palais–Smale condition. Finally, in Section 5, we give the proof of our main theorem.

2. VARIATIONAL SETTING AND THE MAIN RESULT

From now on, let  $A : \Omega \times \mathbb{R} \times \mathbb{R}^N \rightarrow \mathbb{R}$  and  $g : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  be such that, using the notations in (1.1), the following conditions hold:

- ( $H_0$ )  $A(x, t, \xi)$  is a  $C^1$  Carathéodory function, i.e.,  
 $A(\cdot, t, \xi) : x \in \Omega \mapsto A(x, t, \xi) \in \mathbb{R}$  is measurable for all  $(t, \xi) \in \mathbb{R} \times \mathbb{R}^N$ ,  
 $A(x, \cdot, \cdot) : (t, \xi) \in \mathbb{R} \times \mathbb{R}^N \mapsto A(x, t, \xi) \in \mathbb{R}$  is  $C^1$  for a.e.  $x \in \Omega$ ;
- ( $H_1$ ) some positive continuous functions  $\Phi_i, \phi_i : \mathbb{R} \rightarrow \mathbb{R}, i \in \{1, 2\}$ , exist such that

$$|A_t(x, t, \xi)| \leq \Phi_1(t) + \phi_1(t)|\xi|^2 \quad \text{a.e. in } \Omega, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N,$$

$$|a(x, t, \xi)| \leq \Phi_2(t) + \phi_2(t)|\xi| \quad \text{a.e. in } \Omega, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N;$$

- ( $G_0$ )  $g \in C(\overline{\Omega} \times \mathbb{R}, \mathbb{R})$ ;
- ( $G_1$ )  $a_1, a_2 > 0$  and  $q \geq 1$  exist such that

$$|g(x, t)| \leq a_1 + a_2|t|^{q-1} \quad \text{a.e. in } \Omega, \text{ for all } t \in \mathbb{R}.$$

**Remark 2.1.** From ( $G_1$ ) it follows that  $a'_1, a'_2 > 0$  exist such that

$$|G(x, t)| \leq a'_1 + a'_2|t|^q \quad \text{a.e. in } \Omega, \text{ for all } t \in \mathbb{R}. \tag{2.1}$$

We note that, unlike assumption ( $G_1$ ) in [8], no upper bound on  $q$  is actually required.

In order to investigate the existence of weak solutions of the nonlinear problem (1.2), we consider the Banach space  $(X, \|\cdot\|_X)$  defined as

$$X := H_0^1(\Omega) \cap L^\infty(\Omega), \quad \|u\|_X = \|u\|_H + |u|_\infty$$

(here and in the following,  $|\cdot|$  will denote the standard norm on any Euclidean space as the dimension of the considered vector is clear and no ambiguity arises).

Moreover, from the Sobolev Imbedding Theorem, for any  $r \in [1, 2^*[, 2^* = \frac{2N}{N-2}$  as  $N \geq 3$ , a constant  $\sigma_r > 0$  exists, such that

$$|u|_r \leq \sigma_r \|u\|_H \quad \text{for all } u \in H_0^1(\Omega) \tag{2.2}$$

and the imbedding  $H_0^1(\Omega) \hookrightarrow L^r(\Omega)$  is compact, where  $(L^r(\Omega), |\cdot|_r)$  is the standard Lebesgue space.

From definition,  $X \hookrightarrow H_0^1(\Omega)$  and  $X \hookrightarrow L^\infty(\Omega)$  with continuous imbeddings, and thus  $X \hookrightarrow L^r(\Omega)$  for any  $r \geq 1$ , too.

If the perturbation term  $h : \Omega \rightarrow \mathbb{R}$  is such that the associated operator

$$\mathcal{L} : u \in X \mapsto \int_{\Omega} h(x)u(x)dx \in \mathbb{R}$$

belongs to  $X'$ , then ( $H_0$ ) and ( $G_0$ ) allow us to consider the functional  $\mathcal{J} : X \rightarrow \mathbb{R}$  defined as in (1.3) and the following regularity result holds.

**Proposition 2.2.** *Let us assume that  $\mathcal{L} \in X'$ , the functions  $A(x, t, \xi)$  and  $g(x, t)$  satisfy conditions  $(H_0)$ – $(H_1)$ ,  $(G_0)$ – $(G_1)$  and two positive continuous functions  $\Phi_0, \phi_0 : \mathbb{R} \rightarrow \mathbb{R}$  exist such that*

$$|A(x, t, \xi)| \leq \Phi_0(t) + \phi_0(t)|\xi|^2 \quad \text{a.e. in } \Omega, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N. \quad (2.3)$$

If  $(u_n)_n \subset X$ ,  $u \in X$  are such that

$$\|u_n - u\|_H \rightarrow 0, \quad u_n \rightarrow u \text{ a.e. in } \Omega \quad \text{if } n \rightarrow +\infty$$

and  $M > 0$  exists so that  $|u_n|_\infty \leq M$  for all  $n \in \mathbb{N}$ ,

then

$$\mathcal{J}(u_n) \rightarrow \mathcal{J}(u) \quad \text{and} \quad \|d\mathcal{J}(u_n) - d\mathcal{J}(u)\|_{X'} \rightarrow 0 \quad \text{if } n \rightarrow +\infty,$$

with

$$\begin{aligned} \langle d\mathcal{J}(v), w \rangle &= \int_{\Omega} (a(x, v, \nabla v) \cdot \nabla w + A_t(x, v, \nabla v)w) dx \\ &\quad - \int_{\Omega} g(x, v)w dx - \int_{\Omega} h w dx \quad \text{for any } v, w \in X. \end{aligned} \quad (2.4)$$

Hence,  $\mathcal{J}$  is a  $C^1$  functional on  $X$ .

*Proof.* The proof follows by combining the arguments in [15, Proposition 3.2] with those ones in [16, Proposition 3.3].  $\square$

In order to prove more properties of functional  $\mathcal{J}$  in (1.3), we require that some constants  $\alpha_i > 0$ ,  $i \in \{1, 2, 3\}$ ,  $\eta_j > 0$ ,  $j \in \{1, 2\}$ , and  $s \geq 0$ ,  $\mu > 2$ ,  $R_0 \geq 1$ , exist such that the following hypotheses are satisfied:

- $(H_2)$   $A(x, t, \xi) \leq \eta_1 a(x, t, \xi) \cdot \xi$  a.e. in  $\Omega$  if  $|(t, \xi)| \geq R_0$ ;
- $(H_3)$   $|A(x, t, \xi)| \leq \eta_2$  a.e. in  $\Omega$  if  $|(t, \xi)| \leq R_0$ ;
- $(H_4)$   $a(x, t, \xi) \cdot \xi \geq \alpha_1(1 + |t|^{2s})|\xi|^2$  a.e. in  $\Omega$ , for all  $(t, \xi) \in \mathbb{R} \times \mathbb{R}^N$ ;
- $(H_5)$   $a(x, t, \xi) \cdot \xi + A_t(x, t, \xi)t \geq \alpha_2 a(x, t, \xi) \cdot \xi$  a.e. in  $\Omega$  if  $|(t, \xi)| \geq R_0$ ;
- $(H_6)$   $\mu A(x, t, \xi) - a(x, t, \xi) \cdot \xi - A_t(x, t, \xi)t \geq \alpha_3 a(x, t, \xi) \cdot \xi$  a.e. in  $\Omega$  if  $|(t, \xi)| \geq R_0$ ;
- $(H_7)$  for all  $\xi, \xi^* \in \mathbb{R}^N$ ,  $\xi \neq \xi^*$ , it is

$$[a(x, t, \xi) - a(x, t, \xi^*)] \cdot [\xi - \xi^*] > 0 \quad \text{a.e. in } \Omega, \text{ for all } t \in \mathbb{R};$$

$(G_2)$   $g(x, t)$  satisfies the Ambrosetti–Rabinowitz condition, i.e.

$$0 < \mu G(x, t) \leq g(x, t)t \quad \text{for all } x \in \Omega \text{ if } |t| \geq R_0.$$

**Remark 2.3.** If  $(H_1)$ – $(H_6)$  hold, we deduce that in  $(H_5)$  we can take  $\alpha_2 \leq 1$  and suitable constants  $\eta_3, \eta_4 > 0$  exist such that for a.e.  $x \in \Omega$ , all  $(t, \xi) \in \mathbb{R} \times \mathbb{R}^N$  the following estimates are satisfied:

$$A(x, t, \xi) \geq \alpha_1 \frac{\alpha_2 + \alpha_3}{\mu} (1 + |t|^{2s}) |\xi|^2 - \eta_3, \quad (2.5)$$

$$|A(x, t, \xi)| \leq \eta_1 (\Phi_2(t) + \phi_2(t)) |\xi|^2 + \eta_1 \Phi_2(t) + \eta_2, \quad (2.6)$$

$$a(x, t, \xi) \cdot \xi \leq \frac{\eta_4 \mu}{\alpha_2 + \alpha_3} |t|^{\mu - \frac{1 + \alpha_3}{\eta_1}} |\xi|^2 \quad \text{if } |t| \geq 1 \text{ and } |\xi| \geq R_0 \quad (2.7)$$

(for more details, see Remarks 3.3, 3.4 and 3.5 in [15]).

Thus, from (2.6) the growth condition (2.3) holds and Proposition 2.2 applies. At last, we note that  $(H_4)$  and (2.7) imply that

$$0 \leq 2s \leq \mu - \frac{1 + \alpha_3}{\eta_1} \tag{2.8}$$

and, in particular,

$$\mu > \frac{\alpha_3}{\eta_1}. \tag{2.9}$$

From  $\mu > 2$  and (2.8) it follows that  $\max\{2, 2s\} < \mu$ . Actually, a stronger inequality on  $\mu$  can be deduced from a careful estimate of  $A(x, t, \xi)$ .

**Remark 2.4.** If  $(H_1)$ – $(H_6)$  hold, some constants  $\alpha_1^*, \alpha_2^* > 0$  exist such that

$$|A(x, t, \xi)| \leq \alpha_1^*(1 + |t|^{\mu - \frac{\alpha_3}{\eta_1}}) + \alpha_2^*(1 + |t|^{\mu - \frac{\alpha_3}{\eta_1} - 2})|\xi|^2 \tag{2.10}$$

for a.e.  $x \in \Omega$ , all  $(t, \xi) \in \mathbb{R} \times \mathbb{R}^N$  (for more details, see [8, Lemma 6.5]).

Therefore, from (2.5) and (2.10) it results

$$2(s + 1) \leq \mu - \frac{\alpha_3}{\eta_1}.$$

Then, since we can always choose  $\eta_1$  in  $(H_2)$  large enough, it follows that

$$0 \leq 2(s + 1) < \mu. \tag{2.11}$$

**Remark 2.5.** Assumptions  $(G_0)$  –  $(G_2)$  and direct computations imply that some strictly positive constants  $a_3, a_4$  and  $a_5$  exist such that

$$\frac{1}{\mu} (g(x, t)t + a_3) \geq G(x, t) + a_4 \geq a_5 |t|^\mu \quad \text{for all } (x, t) \in \Omega \times \mathbb{R}. \tag{2.12}$$

Hence, in our setting of assumptions on  $A(x, t, \xi)$  and  $g(x, t)$ , estimates (2.1), (2.11) and (2.12) imply that

$$2(s + 1) < \mu \leq q. \tag{2.13}$$

Now, we are able to state our main result.

**Theorem 2.6.** *Assume that  $A(x, t, \xi)$ ,  $g(x, t)$  and  $h(x)$  satisfy conditions  $(H_0)$ – $(H_7)$ ,  $(G_0)$ – $(G_2)$  and*

$$(H_8) \quad A(x, -t, -\xi) = A(x, t, \xi) \quad \text{for a.e. } x \in \Omega, \text{ for all } (t, \xi) \in \mathbb{R} \times \mathbb{R}^N;$$

$$(G_3) \quad g(x, -t) = -g(x, t) \quad \text{for all } (x, t) \in \Omega \times \mathbb{R};$$

$$(h_0) \quad h \in L^\nu(\Omega) \cap L^{\mu'}(\Omega) \quad \text{with } \nu > \frac{N}{2} \quad \text{and } \mu' = \frac{\mu}{\mu - 1}.$$

If

$$q < 2^*(s + 1) \quad \text{and} \quad \frac{\mu}{\mu - 1} < \frac{2q}{N(q - 2 - 2s)}, \tag{2.14}$$

with  $s$  as in  $(H_4)$ ,  $q$  as in  $(G_1)$  and  $\mu$  as in  $(G_2)$  and  $(H_6)$ , then functional  $\mathcal{J}$  has infinitely many critical points  $(u_n)_n$  in  $X$  such that  $\mathcal{J}(u_n) \nearrow +\infty$ ; hence, problem (1.2) has infinitely many weak (bounded) solutions.

**Remark 2.7.** We note that  $h \in L^{\mu'}(\Omega)$  implies  $\mathcal{L} \in X'$  and, from  $X \hookrightarrow L^{\mu}(\Omega)$  and Hölder inequality, we obtain the estimate

$$\left| \int_{\Omega} hu \, dx \right| \leq |h|_{\mu'} |u|_{\mu} \quad \text{for all } u \in X. \quad (2.15)$$

On the other hand, we need  $h \in L^{\nu}(\Omega)$  only for proving the boundedness of the weak limit of the *(CPS)*-sequences in  $H_0^1(\Omega)$  (see the proof of Proposition 4.5). Anyway, if  $N \geq 4$  it results  $L^{\nu}(\Omega) \cap L^{\mu'}(\Omega) = L^{\nu}(\Omega)$  as  $\mu > 2$  implies  $\mu' < \frac{N}{2}$ .

**Remark 2.8.** For the classical problem (1.2) with  $A(x, t, \xi) \equiv \frac{1}{2}|\xi|^2$ , it is  $s = 0$ , hence Theorem 2.6 reduces to the well known result stated in [26] (see also [12, 13] where a similar result is stated for a problem with non-homogeneous boundary conditions).

Furthermore, in the quasilinear model case  $A(x, t, \xi) = \frac{1}{2}\bar{A}(x, t)|\xi|^2$ , conditions  $(H_2)$  and  $(H_7)$  are trivially verified and Theorem 2.6 reduces to [16, Theorem 3.4].

**Remark 2.9.** In the particular case  $g(x, t) = |t|^{q-2}t$  we have  $\mu = q$ , then estimate (2.11) and condition (2.14) imply

$$2(s+1) < q < \frac{2(N-1)}{N-2} + \frac{2Ns}{N-2}.$$

We recall that, if  $A(x, t, \xi) \equiv \frac{1}{2}|\xi|^2$ , in [26] Tanaka proves the existence of infinitely many solutions if

$$2 < q < \frac{2(N-1)}{N-2}. \quad (2.16)$$

Therefore, if  $s > 0$  the length of the allowed range of  $q$ , equal to  $\frac{2}{N-2} + \frac{4s}{N-2}$ , is larger than  $\frac{2}{N-2}$  which comes from (2.16).

### 3. A PERTURBATION METHOD

From now on, assume that  $(H_1)$ – $(H_6)$ ,  $(G_0)$ – $(G_2)$  and  $(h_0)$  hold. Thus, from Proposition 2.2 and Remarks 2.3 and 2.7,  $\mathcal{J}$  in (1.3) is a  $C^1$  functional on  $X$ .

By  $\mathcal{J}_0$  we denote the functional  $\mathcal{J}$  corresponding to  $h \equiv 0$  defined as in (1.4).

We note that, if  $(H_8)$  and  $(G_3)$  hold, then  $\mathcal{J}_0$  is the even symmetrization of  $\mathcal{J}$ , as

$$\frac{1}{2} (\mathcal{J}(u) + \mathcal{J}(-u)) = \mathcal{J}_0(u) \quad \text{for all } u \in X.$$

We know that, under the additional assumptions  $(H_7)$ – $(H_8)$  and  $(G_3)$ , the existence of infinitely many critical points for  $\mathcal{J}_0$  in  $X$  has been proved in [15]. Here, we prove a multiplicity result for the complete functional  $\mathcal{J}$  in spite of the loss of symmetry. To this aim, we use a suitable version of the Rabinowitz's perturbation method in [22] (see also [16, Section 4]) which requires the following technical lemmas.



**Lemma 3.1.** *For all  $u \in X$  it results*

$$\begin{aligned} \left(\mu - \frac{\alpha_3}{\eta_1}\right) \mathcal{J}(u) - \langle d\mathcal{J}(u), u \rangle &\geq \frac{\alpha_3}{\mu\eta_1} \int_{\Omega} (g(x, u)u + a_3)dx \\ &\quad - \left(\mu - \frac{\alpha_3}{\eta_1} - 1\right) \int_{\Omega} h u dx - a_6, \end{aligned}$$

with  $\eta_1$  as in  $(H_2)$ ,  $\mu$  and  $\alpha_3$  as in  $(H_6)$ ,  $a_3$  as in (2.12) and  $a_6 > 0$  a suitable constant.

*Proof.* Taking  $u \in X$ , from (1.3), (2.4) and direct computations we have that

$$\begin{aligned} &\left(\mu - \frac{\alpha_3}{\eta_1}\right) \mathcal{J}(u) - \langle d\mathcal{J}(u), u \rangle \\ &= \int_{\Omega} (\mu A(x, u, \nabla u) - a(x, u, \nabla u) \cdot \nabla u - A_t(x, u, \nabla u)u) dx \\ &\quad - \frac{\alpha_3}{\eta_1} \int_{\Omega} A(x, u, \nabla u) dx - \left(\mu - \frac{\alpha_3}{\eta_1}\right) \int_{\Omega} (G(x, u) + a_4) dx \\ &\quad + a_4 \left(\mu - \frac{\alpha_3}{\eta_1}\right) |\Omega| + \int_{\Omega} (g(x, u)u + a_3) dx - a_3 |\Omega| - \left(\mu - \frac{\alpha_3}{\eta_1} - 1\right) \int_{\Omega} h u dx. \end{aligned}$$

Then, setting

$$\Omega_{R_0}^u = \{x \in \Omega : |(u(x), \nabla u(x))| \geq R_0\},$$

from  $(H_1)$ ,  $(H_6)$ , (2.6), (2.9) and (2.12) a constant  $a_6 > 0$  exists such that

$$\begin{aligned} \left(\mu - \frac{\alpha_3}{\eta_1}\right) \mathcal{J}(u) - \langle d\mathcal{J}(u), u \rangle &\geq \alpha_3 \int_{\Omega_{R_0}^u} a(x, u, \nabla u) \cdot \nabla u dx \\ &\quad - \frac{\alpha_3}{\eta_1} \int_{\Omega_{R_0}^u} A(x, u, \nabla u) dx + \frac{\alpha_3}{\eta_1 \mu} \int_{\Omega} (g(x, u) + a_3) dx \\ &\quad - \left(\mu - \frac{\alpha_3}{\eta_1} - 1\right) \int_{\Omega} h u dx - a_6; \end{aligned}$$

hence, the thesis follows from  $(H_2)$ . □

**Lemma 3.2.** *A constant  $\alpha^* = \alpha^*(|h|_{\mu'}) > 0$  exists, such that*

$$u \in X, |\langle d\mathcal{J}(u), u \rangle| \leq 1 \implies \frac{1}{\mu} \int_{\Omega} (g(x, u)u + a_3) dx \leq \alpha^* (\mathcal{J}^2(u) + 1)^{\frac{1}{2}},$$

with  $\mu$  as in  $(H_6)$  and  $a_3$  as in (2.12).

*Proof.* From Lemma 3.1, (2.9) and (2.15) it follows that

$$\begin{aligned} \left(\mu - \frac{\alpha_3}{\eta_1}\right) \mathcal{J}(u) - \langle d\mathcal{J}(u), u \rangle &\geq \frac{\alpha_3}{\eta_1 \mu} \int_{\Omega} (g(x, u)u + a_3) dx \\ &\quad - \left(\mu - \frac{\alpha_3}{\eta_1} + 1\right) |h|_{\mu'} |u|_{\mu} - a_6 \end{aligned} \tag{3.1}$$

(as useful in the following, we make the constant  $\mu - \frac{\alpha_3}{\eta_1} - 1$  grow to  $\mu - \frac{\alpha_3}{\eta_1} + 1$ ).

Now, from one hand, (3.1), Young inequality with  $\varepsilon = \frac{\alpha_3}{2\eta_1} a_5$ , and (2.12) imply the existence of a suitable constant  $b_0 = b_0(\alpha_3, \eta_1, \mu, a_5) > 0$  such that for all  $u \in X$  we have

$$\begin{aligned} &\frac{\alpha_3}{\eta_1 \mu} \int_{\Omega} (g(x, u)u + a_3) dx - \left(\mu - \frac{\alpha_3}{\eta_1} + 1\right) |h|_{\mu'} |u|_{\mu} - a_6 \\ &\geq \frac{\alpha_3}{\eta_1 \mu} \int_{\Omega} (g(x, u)u + a_3) dx - \frac{\alpha_3}{2\eta_1} a_5 |u|_{\mu}^{\mu} \\ &\quad - b_0 \left(\mu - \frac{\alpha_3}{\eta_1} + 1\right)^{\mu'} |h|_{\mu'}^{\mu'} - a_6 \\ &\geq \frac{\alpha_3}{2\eta_1 \mu} \int_{\Omega} (g(x, u)u + a_3) dx - a_7, \end{aligned} \tag{3.2}$$

with  $a_7 = b_0 \left(\mu - \frac{\alpha_3}{\eta_1} + 1\right)^{\mu'} |h|_{\mu'}^{\mu'} + a_6$ .

On the other hand, taking  $u \in X$  such that  $|\langle d\mathcal{J}(u), u \rangle| \leq 1$ , we have

$$\left(\mu - \frac{\alpha_3}{\eta_1}\right) \mathcal{J}(u) - \langle d\mathcal{J}(u), u \rangle \leq \left(\mu - \frac{\alpha_3}{\eta_1}\right) \mathcal{J}(u) + 1. \tag{3.3}$$

Whence, (3.1)–(3.3) imply

$$\left(\mu - \frac{\alpha_3}{\eta_1}\right) \mathcal{J}(u) + 1 \geq \frac{\alpha_3}{2\eta_1 \mu} \int_{\Omega} (g(x, u)u + a_3) dx - a_7$$

and the conclusion follows with  $\alpha^* = 2\sqrt{2} \frac{\eta_1}{\alpha_3} \max\{\mu - \frac{\alpha_3}{\eta_1}, 1 + a_7\}$ . □

Now, modifying functional  $\mathcal{J}$ , we introduce the new map

$$\mathcal{J}_1(u) = \int_{\Omega} A(x, u, \nabla u) dx - \int_{\Omega} G(x, u) dx - \psi(u) \int_{\Omega} hu \, dx, \quad u \in X, \tag{3.4}$$

where

$$\psi(u) = \chi \left( \frac{1}{\mathcal{F}(u)} \int_{\Omega} (G(x, u) + a_4) dx \right), \quad \mathcal{F}(u) = 2\alpha^* (\mathcal{J}^2(u) + 1)^{\frac{1}{2}}, \tag{3.5}$$

with  $\alpha^*$  as in Lemma 3.2, and  $\chi \in C^\infty(\mathbb{R}, [0, 1])$  is a decreasing cut–function such that

$$\chi(t) = \begin{cases} 1 & \text{if } t \leq 1, \\ 0 & \text{if } t \geq 2 \end{cases} \tag{3.6}$$

and  $-2 < \chi'(t) < 0$  for all  $t \in ]1, 2[$ .

Clearly, it is

$$\mathcal{J}_1(u) = \mathcal{J}(u) - (\psi(u) - 1) \int_{\Omega} hu \, dx, \quad u \in X,$$

where we have

$$0 \leq \psi(u) \leq 1 \quad \text{for all } u \in X. \tag{3.7}$$

Also if the symmetric conditions  $(H_8)$  and  $(G_3)$  hold, functional  $\mathcal{J}_1$  is not even. Anyway, we can control its loss of symmetry.

**Lemma 3.3.** *Under the further hypotheses  $(H_8)$  and  $(G_3)$ , a constant  $k_0 = k_0(|h|_{\mu'}) > 0$  exists, such that*

$$|\mathcal{J}_1(u) - \mathcal{J}_1(-u)| \leq k_0 \left( |\mathcal{J}_1(u)|^{\frac{1}{\mu}} + 1 \right) \quad \text{for all } u \in X.$$

*Proof.* For the proof, see [16, Lemma 4.4]. □

From Proposition 2.2, direct computations imply that  $\mathcal{J}_1$  is a  $C^1$  functional on  $X$  and for all  $u \in X$  we have

$$\begin{aligned} \langle d\mathcal{J}_1(u), u \rangle &= (1 + T_1(u)) \langle d\mathcal{J}(u), u \rangle - (T_2(u) - T_1(u)) \int_{\Omega} g(x, u)u \, dx \\ &\quad - (\psi(u) - 1) \int_{\Omega} hu \, dx, \end{aligned}$$

with

$$\begin{aligned} T_1(u) &= \chi' \left( \frac{1}{\mathcal{F}(u)} \int_{\Omega} (G(x, u) + a_4) dx \right) \frac{(2\alpha^*)^2 \mathcal{J}(u)}{\mathcal{F}^3(u)} \int_{\Omega} (G(x, u) + a_4) dx \int_{\Omega} hu \, dx, \\ T_2(u) &= T_1(u) + \chi' \left( \frac{1}{\mathcal{F}(u)} \int_{\Omega} (G(x, u) + a_4) dx \right) \frac{1}{\mathcal{F}(u)} \int_{\Omega} hu \, dx. \end{aligned}$$

**Lemma 3.4.** *Functional  $\mathcal{J}_1$  verifies the following conditions:*

- (i) *two strictly positive constants  $M_0 = M_0(|h|_{\mu'})$  and  $a_0 = a_0(|h|_{\mu'})$  exist, such that for all  $M \geq M_0$  we have*

$$u \in \text{supp } \psi, \quad \mathcal{J}_1(u) \geq M \quad \implies \quad \mathcal{J}(u) \geq a_0 M;$$

(ii) for any  $\varepsilon > 0$  a constant  $M_\varepsilon > 0$  exists, such that

$$u \in X, \quad \mathcal{J}_1(u) \geq M_\varepsilon \quad \implies \quad |T_1(u)| \leq \varepsilon, \quad |T_2(u)| \leq \varepsilon;$$

(iii) a constant  $M_1 > 0$  exists such that  $u \in X$ ,

$$\mathcal{J}_1(u) \geq M_1, \quad |\langle d\mathcal{J}_1(u), u \rangle| \leq \frac{1}{2} \quad \implies \quad \mathcal{J}_1(u) = \mathcal{J}(u), \quad d\mathcal{J}_1(u) = d\mathcal{J}(u).$$

*Proof.* For the proof, see Lemmas 4.3, 4.5 and 4.7 in [16]. □

**Remark 3.5.** Any critical point of  $\mathcal{J}$  is also a critical point of  $\mathcal{J}_1$  with the same critical level. In fact, if  $u$  is critical point of  $\mathcal{J}$  in  $X$ , from (2.12), Lemma 3.2 and (3.5) it follows that

$$\int_{\Omega} (G(x, u) + a_4) dx \leq \frac{1}{2} \mathcal{F}(u);$$

hence, definition (3.6) implies that  $\psi(u) = 1$ ,  $\psi'(u) = 0$ , and then

$$\mathcal{J}_1(u) = \mathcal{J}(u), \quad d\mathcal{J}_1(u) = 0.$$

On the other hand, (iii) of Lemma 3.4 states that also the vice versa is true but only for large enough critical levels.

#### 4. THE WEAK CERAMI-PALAIS-SMALE CONDITION

The aim of this section is proving that our perturbed functional  $\mathcal{J}_1$  satisfies  $(wCPS)_\beta$  condition (see Definition 1.3) but if  $\beta$  is large enough.

From now on, let  $\mathbb{N} = \{1, 2, \dots\}$  and we denote by  $|C|$  the usual Lebesgue measure of a measurable set  $C$  in  $\mathbb{R}^N$ .

Firstly, we recall the following result.

**Proposition 4.1.** *If  $q < 2^*(s + 1)$ , then functional  $\mathcal{J}_0$  satisfies the  $(wCPS)$  condition in  $\mathbb{R}$ .*

*Proof.* For the proof, see [15, Proposition 3.10]. □

Our next step is proving that also  $\mathcal{J}$  satisfies  $(wCPS)$  condition in  $\mathbb{R}$  for any  $q < 2^*(s + 1)$  even if we have  $h \not\equiv 0$ . To this aim, we need the following variants of imbedding theorems.

**Lemma 4.2.** *Fix  $s \geq 0$  and let  $(u_n)_n \subset X$  be a sequence such that*

$$\left( \int_{\Omega} (1 + |u_n|^{2s}) |\nabla u_n|^2 dx \right)_n \quad \text{is bounded.} \tag{4.1}$$

Then,  $u \in H_0^1(\Omega)$  exists such that  $|u|^s u \in H_0^1(\Omega)$ , too, and, up to subsequences, if  $n \rightarrow +\infty$  we have

$$u_n \rightharpoonup u \text{ weakly in } H_0^1(\Omega), \tag{4.2}$$

$$|u_n|^s u_n \rightharpoonup |u|^s u \text{ weakly in } H_0^1(\Omega), \tag{4.3}$$

$$u_n \rightarrow u \text{ a.e. in } \Omega, \tag{4.4}$$

$$u_n \rightarrow u \text{ strongly in } L^r(\Omega) \text{ for each } r \in [1, 2^*(s+1)[. \tag{4.5}$$

*Proof.* For the proof, see [15, Lemma 3.8]. □

**Lemma 4.3.** *If  $q < 2^*(s+1)$ , then a constant  $c_s > 0$  exists such that*

$$|u|_q \leq c_s \left( \int_{\Omega} (1 + |u|^{2s}) |\nabla u|^2 dx \right)^{\frac{1}{2(s+1)}} \text{ for all } u \in X.$$

*Proof.* Taking  $u \in X$ , we note that

$$|\nabla(|u|^s u)|^2 = (s+1)^2 |u|^{2s} |\nabla u|^2 \text{ a.e. in } \Omega. \tag{4.6}$$

On the other hand, setting  $q_s = \frac{q}{s+1}$ , condition  $q < 2^*(s+1)$  implies  $q_s < 2^*$ , then from (2.2) and (4.6) we have that

$$\begin{aligned} |u|_q &= ||u|^s u|_{q_s^{\frac{1}{s+1}}} \leq (\sigma_{q_s} |\nabla(|u|^s u)|_2)^{\frac{1}{s+1}} \\ &\leq \sigma_{q_s^{\frac{1}{s+1}}} (s+1)^{\frac{1}{s+1}} \left( \int_{\Omega} (1 + |u|^{2s}) |\nabla u|^2 dx \right)^{\frac{1}{2(s+1)}}. \end{aligned}$$

Hence, the thesis follows from (2.13). □

Moreover, in order to prove the boundedness of the weak limit of a (CPS)-sequence, we need also the following particular version of [19, Theorem II.5.1].

**Theorem 4.4.** *Taking  $v \in H_0^1(\Omega)$ , assume that  $L_0 > 0$  and  $k_0 \in \mathbb{N}$  exist such that for all  $\tilde{k} \geq k_0$  it is*

$$\int_{\Omega_{\tilde{k}}^+} |\nabla v|^2 dx \leq L_0 \left( \int_{\Omega_{\tilde{k}}^+} (v - \tilde{k})^l dx \right)^{\frac{2}{l}} + L_0 \sum_{i=1}^m \tilde{k}^{l_i} |\Omega_{\tilde{k}}^+|^{1 - \frac{2}{N} + \epsilon_i},$$

with  $\Omega_{\tilde{k}}^+ = \{x \in \Omega : v(x) > \tilde{k}\}$ , where  $l, m, l_i, \epsilon_i$  are positive constants such that

$$1 \leq l < 2^*, \quad \epsilon_i > 0, \quad 2 \leq l_i < \epsilon_i 2^* + 2.$$

Then  $\text{ess sup}_{\Omega} v$  is bounded from above by a positive constant which depends only on  $N, |\Omega|, L_0, k_0, l, m, \epsilon_i, l_i, |u|_{2^*}$ .

**Proposition 4.5.** *If  $q < 2^*(s + 1)$  then functional  $\mathcal{J}$  satisfies the (wCPS) condition in  $\mathbb{R}$ .*

*Proof.* Let  $\beta \in \mathbb{R}$  be fixed and consider a  $(CPS)_\beta$ -sequence  $(u_n)_n \subset X$ , i.e.,

$$\mathcal{J}(u_n) \rightarrow \beta \quad \text{and} \quad \|d\mathcal{J}(u_n)\|_{X'}(1 + \|u_n\|_X) \rightarrow 0. \tag{4.7}$$

For simplicity, here and in the following we will use the notation  $(\varepsilon_n)_n$  for any infinitesimal sequence depending only on  $(u_n)_n$ .

From  $(H_1)$ ,  $(H_6)$ , (2.6),  $(G_0)$ ,  $(G_2)$ , (2.15), direct computations,  $(H_4)$  and Lemma 4.3, we have that some constants  $a_8, a_9 > 0$  exist such that

$$\begin{aligned} \mu\beta + \varepsilon_n &= \mu\mathcal{J}(u_n) - \langle d\mathcal{J}(u_n), u_n \rangle \\ &\geq \alpha_3 \int_{\Omega} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx - a_8 - (\mu - 1)|h|_{\mu'}|u_n|_{\mu} \\ &\geq \alpha_1\alpha_3 \int_{\Omega} (1 + |u_n|^{2s}) |\nabla u_n|^2 dx - a_8 - a_9 \left( \int_{\Omega} (1 + |u_n|^{2s}) |\nabla u_n|^2 dx \right)^{\frac{1}{2(s+1)}} \end{aligned}$$

which implies (4.1). Then, from Lemma 4.2 it follows that  $u \in H_0^1(\Omega)$  exists such that  $|u|^s u \in H_0^1(\Omega)$ , too, and, up to subsequences, (4.2)–(4.5) hold.

Now, we want to prove that  $u$  is essentially bounded from above. Arguing by contradiction, let us assume that

$$\operatorname{ess\,sup}_{\Omega} u = +\infty; \tag{4.8}$$

thus, taking any  $k \in \mathbb{N}$ ,  $k > R_0$  ( $R_0 \geq 1$  as in the hypotheses), we have that

$$|\Omega_k^+| > 0 \quad \text{with} \quad \Omega_k^+ = \{x \in \Omega : u(x) > k\}. \tag{4.9}$$

Taking any  $\tilde{k} > 0$ , we define the new function  $R_{\tilde{k}}^+ : t \in \mathbb{R} \rightarrow R_{\tilde{k}}^+ t \in \mathbb{R}$  as

$$R_{\tilde{k}}^+ t = \begin{cases} 0 & \text{if } t \leq \tilde{k}, \\ t - \tilde{k} & \text{if } t > \tilde{k}. \end{cases}$$

Then, if  $\tilde{k} = k^{s+1}$ , from (4.3) it follows that

$$R_{k^{s+1}}^+ (|u_n|^s u_n) \rightharpoonup R_{k^{s+1}}^+ (|u|^s u) \quad \text{weakly in } H_0^1(\Omega);$$

thus, the sequentially weakly lower semicontinuity of  $\|\cdot\|_H$  implies

$$\int_{\Omega_k^+} |\nabla(u^{s+1})|^2 dx \leq \liminf_{n \rightarrow +\infty} \int_{\Omega_{n,k}^+} |\nabla(u_n^{s+1})|^2 dx \tag{4.10}$$

with  $\Omega_{n,k}^+ = \{x \in \Omega : u_n(x) > k\}$ , as  $|t|^s t > k^{s+1}$  if and only if  $t > k$ .

On the other hand, from  $\|R_k^+ u_n\|_X \leq \|u_n\|_X$ , (4.7) and (4.9) it follows that  $n_k \in \mathbb{N}$  exists so that

$$\langle d\mathcal{J}(u_n), R_k^+ u_n \rangle < |\Omega_k^+| \quad \text{for all } n \geq n_k. \tag{4.11}$$

Then, from  $(H_5)$  (with  $\alpha_2 \leq 1$ ),  $(H_4)$ , (4.6) and direct computations we have that

$$\begin{aligned} \langle d\mathcal{J}(u_n), R_k^+ u_n \rangle &\geq \alpha_2 \int_{\Omega_{n,k}^+} a(x, u_n, \nabla u_n) \cdot \nabla u_n dx - \int_{\Omega} g(x, u_n) R_k^+ u_n dx \\ &\quad - \int_{\Omega} h R_k^+ u_n dx \\ &\geq \frac{\alpha_1 \alpha_2}{(s+1)^2} \int_{\Omega_{n,k}^+} |\nabla(u_n^{s+1})|^2 dx - \int_{\Omega} g(x, u_n) R_k^+ u_n dx - \int_{\Omega} h R_k^+ u_n dx. \end{aligned}$$

Thus, from (4.11), it follows that

$$\int_{\Omega_{n,k}^+} |\nabla(u_n^{s+1})|^2 dx \leq \frac{(s+1)^2}{\alpha_1 \alpha_2} \left( |\Omega_k^+| + \int_{\Omega} g(x, u_n) R_k^+ u_n dx + \int_{\Omega} h R_k^+ u_n dx \right),$$

where, since  $q < 2^*(s+1)$ , from  $(G_1)$  and (4.5) it results

$$\int_{\Omega} g(x, u_n) R_k^+ u_n dx \rightarrow \int_{\Omega} g(x, u) R_k^+ u dx, \quad \int_{\Omega} h R_k^+ u_n dx \rightarrow \int_{\Omega} h R_k^+ u dx.$$

Hence, passing to the limit, (4.10) implies

$$\int_{\Omega_k^+} |\nabla(u^{s+1})|^2 dx \leq \frac{(s+1)^2}{\alpha_1 \alpha_2} \left( |\Omega_k^+| + \int_{\Omega} g(x, u) R_k^+ u dx + \int_{\Omega} h R_k^+ u dx \right).$$

Now, as  $h \in L^\nu(\Omega)$  with  $\nu > \frac{N}{2}$ , by reasoning as in the last part of *Step 2* in the proof of [16, Proposition 4.11], we are able to apply Theorem 4.4, then  $\text{ess sup } u < +\infty$  in contradiction with (4.8).

Similar arguments prove also that  $u$  is essentially bounded from below; hence,  $u \in L^\infty(\Omega)$ .

Taking  $k \geq \max\{|u|_\infty, R_0\} + 1$  ( $R_0 \geq 1$  as in the set of hypotheses) and the truncation function  $T_k : \mathbb{R} \rightarrow \mathbb{R}$  defined as

$$T_k t = \begin{cases} t & \text{if } |t| \leq k, \\ k \frac{t}{|t|} & \text{if } |t| > k, \end{cases}$$

thanks to the linearity of the term  $v \mapsto \int_{\Omega} h v dx$  we can reason as in Steps 3 and 4 of the proof of [7, Proposition 3.4] and can prove that  $(T_k u_n)_n$  is a Palais–Smale

sequence at level  $\beta$ , i.e.  $\mathcal{J}(T_k u_n) \rightarrow \beta$  and  $\|d\mathcal{J}(T_k u_n)\|_{X'} \rightarrow 0$ , and  $\|T_k u_n - u\|_H \rightarrow 0$ . Hence, also  $\|u_n - u\|_H \rightarrow 0$  and, since  $\|T_k u_n\|_\infty \leq k$  for all  $n \in \mathbb{N}$ , by applying Proposition 2.2 we have  $\mathcal{J}(u) = \beta$  and  $d\mathcal{J}(u) = 0$ .  $\square$

**Proposition 4.6.** *Let  $q < 2^*(s + 1)$ . Then, taking  $M_1 > 0$  as in (iii) of Lemma 3.4, the functional  $\mathcal{J}_1$  satisfies the  $(wCPS)_\beta$  condition for any  $\beta > M_1$ .*

*Proof.* Let  $\beta > M_1$  and  $(u_n)_n$  be a  $(CPS)_\beta$ -sequence of  $\mathcal{J}_1$  in  $X$ . Then, for  $n$  large enough it is

$$\mathcal{J}_1(u_n) \geq M_1 \quad \text{and} \quad |\langle d\mathcal{J}_1(u_n), u_n \rangle| \leq \|d\mathcal{J}_1(u_n)\|_{X'} (\|u_n\|_X + 1) \leq \frac{1}{2};$$

hence, from (iii) of Lemma 3.4 we obtain

$$\mathcal{J}_1(u_n) = \mathcal{J}(u_n), \quad d\mathcal{J}_1(u_n) = d\mathcal{J}(u_n),$$

which implies that  $(u_n)_n$  is a  $(CPS)_\beta$ -sequence of  $\mathcal{J}$  in  $X$ , too. Thus, from Proposition 4.5 it follows that  $u \in X$  exists such that  $\|u_n - u\|_H \rightarrow 0$  (up to subsequences) and  $u$  is a critical point of  $\mathcal{J}$  at level  $\beta$ . Then,  $u$  is a critical point of  $\mathcal{J}_1$  at level  $\beta$ , too (see Remark 3.5).  $\square$

## 5. PROOF OF THE MAIN THEOREM

Throughout this section, assume that  $A(x, t, \xi)$ ,  $g(x, t)$ ,  $h(x)$  satisfy all the hypotheses of Theorem 2.6.

In order to introduce a suitable decomposition of  $X$ , let  $(\lambda_j)_j$  be the sequence of the eigenvalues of  $-\Delta$  in  $H_0^1(\Omega)$  and for each  $j \in \mathbb{N}$  let  $\varphi_j \in H_0^1(\Omega)$  be the eigenfunction corresponding to  $\lambda_j$ .

We recall that  $0 < \lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots$ , with  $\lambda_j \nearrow +\infty$  as  $j \rightarrow +\infty$ , and  $(\varphi_j)_j$  is an orthonormal basis of  $H_0^1(\Omega)$  such that for each  $j \in \mathbb{N}$  it is  $\varphi_j \in L^\infty(\Omega)$ ; hence,  $\varphi_j \in X$  (see [6, Section 9.8]). Then, for any  $k \in \mathbb{N}$ , it is

$$H_0^1(\Omega) = V_k \oplus Z_k,$$

where

$$V_k = \text{span}\{\varphi_1, \dots, \varphi_k\} \quad \text{and} \quad Z_k \text{ is its orthogonal complement.}$$

Thus, setting  $Z_k^X = Z_k \cap L^\infty(\Omega)$ , we have

$$X = V_k + Z_k^X \quad \text{and} \quad V_k \cap Z_k^X = \{0\};$$

whence,

$$\text{codim} Z_k^X = \dim V_k = k. \tag{5.1}$$

**Proposition 5.1.** *If  $V$  is a finite dimensional subspace of  $X$ , then*

$$\sup_{u \in S_R^H \cap V} \mathcal{J}_1(u) \rightarrow -\infty \quad \text{if } R \rightarrow +\infty,$$

with  $S_R^H = \{u \in X : \|u\|_H = R\}$ .



*Proof.* Since in a finite dimensional space all the norms are equivalent, the proof follows from definition (3.4) and the estimates (2.10), (2.12), (2.15), (3.7).  $\square$

From (5.1) and Proposition 5.1 a strictly increasing sequence of positive numbers  $(R_k)_k$  exists,  $R_k \nearrow +\infty$ , such that for any  $k \in \mathbb{N}$  we have that

$$\mathcal{J}_1(u) < 0 \quad \text{for all } u \in V_k \text{ with } \|u\|_H \geq R_k.$$

Now, we can introduce the following notations:

$$\begin{aligned} \Gamma_k &= \{\gamma \in C(V_k, X) : \gamma \text{ is odd, } \gamma(u) = u \text{ if } \|u\|_H \geq R_k\}, \\ \Gamma_k^H &= \{\gamma \in C(V_k, H_0^1(\Omega)) : \gamma \text{ is odd, } \gamma(u) = u \text{ if } \|u\|_H \geq R_k\}, \\ \Lambda_k &= \{\gamma \in C(V_{k+1}^+, X) : \gamma|_{V_k} \in \Gamma_k \text{ and } \gamma(u) = u \text{ if } \|u\|_H \geq R_{k+1}\}, \end{aligned}$$

with

$$V_{k+1}^+ = \{v + t\varphi_{k+1} \in X : v \in V_k, t \geq 0\},$$

and

$$b_k = \inf_{\gamma \in \Gamma_k} \sup_{u \in V_k} \mathcal{J}_1(\gamma(u)), \quad b_k^+ = \inf_{\gamma \in \Lambda_k} \sup_{u \in V_{k+1}^+} \mathcal{J}_1(\gamma(u)).$$

The following existence result can be proved.

**Proposition 5.2.** *Assume  $q < 2^*(s + 1)$  and let  $k \in \mathbb{N}$  be such that*

$$b_k^+ > b_k \geq M_1, \tag{5.2}$$

*with  $M_1 > 0$  as in (iii) of Lemma 3.4. Taking  $0 < \delta < b_k^+ - b_k$ , define*

$$\beta_k(\delta) = \inf_{\gamma \in \Lambda_k(\delta)} \sup_{u \in V_{k+1}^+} \mathcal{J}_1(\gamma(u)),$$

*where*

$$\Lambda_k(\delta) = \{\gamma \in \Lambda_k : \mathcal{J}_1(\gamma(u)) \leq b_k + \delta \text{ if } u \in V_k\}.$$

*Then,  $\beta_k(\delta)$  is a critical level of  $\mathcal{J}$  in  $X$  with  $\beta_k(\delta) \geq b_k^+$ .*

*Proof.* The proof follows from Proposition 4.6 by reasoning as in [16, Proposition 5.4].  $\square$

Now, we need an estimate from below for the sequence  $(b_k)_k$ .

**Proposition 5.3.** *If  $q < 2^*(s + 1)$ , then a constant  $C_1 > 0$  exists such that*

$$b_k \geq C_1 k^{\frac{2q}{N(q-2-2s)}} \quad \text{for } k \text{ large enough.}$$

*Proof.* Firstly, we note that from (2.1), (2.5), (2.15), (3.4), (3.7) and direct computations, some constants  $a_{10}, a_{11}, a_{12} > 0$  exist, such that

$$\mathcal{J}_1(u) \geq a_{10} \mathcal{I}(u) - a_{11} \quad \text{for all } u \in X, \tag{5.3}$$

where  $\mathcal{I} : X \rightarrow \mathbb{R}$  is the  $C^1$  functional defined as

$$\mathcal{I}(u) = \frac{1}{2} \int_{\Omega} (1 + |u|^{2s}) |\nabla u|^2 dx - a_{12} \int_{\Omega} |u|^q dx.$$

Now, taking  $k \in \mathbb{N}$ , reasoning as in the proof of [16, Proposition 5.6], for any  $\gamma_0 \in \Gamma_k$  we can define the continuous map  $\tilde{\gamma}_0 : V_k \rightarrow X$ ,

$$\tilde{\gamma}_0(u) = \begin{cases} |\gamma_0(u)|^s \gamma_0(u) & \text{if } \|u\|_H \leq R_k - \delta_0, \\ |\gamma_0(u)|^{\frac{s}{\delta_0}(R_k - \|u\|_H)} \gamma_0(u) & \text{if } R_k - \delta_0 < \|u\|_H < R_k, \\ u & \text{if } \|u\|_H \geq R_k, \end{cases}$$

for a suitable  $\delta_0 \in ]0, R_k[$ , such that  $\tilde{\gamma}_0 \in \Gamma_k \subset \Gamma_k^H$  and

$$\sup_{u \in V_k} \mathcal{I}(\gamma_0(u)) \geq \frac{1}{(s+1)^2} \sup_{u \in V_k} K^*(\tilde{\gamma}_0(u)) \geq \frac{1}{(s+1)^2} \inf_{\gamma \in \Gamma_k^H} \sup_{u \in V_k} K^*(\gamma(u)),$$

with

$$K^*(v) = \frac{1}{2} \int_{\Omega} |\nabla v|^2 dx - a_{12}(s+1)^2 \int_{\Omega} |v|^{\frac{q}{s+1}} dx.$$

Then, the thesis follows from [26, Section 2] and (5.3). □

*Proof of Theorem 2.6.* Since  $b_k^+ \geq b_k$  for any  $k \in \mathbb{N}$  and  $b_k \rightarrow +\infty$  from Proposition 5.3, the thesis follows from Proposition 5.2 once we prove that (5.2) holds for infinitely many  $k$ .

Arguing by contradiction, assume that  $k_1 \in \mathbb{N}$  exists such that  $b_k^+ = b_k$  for any  $k \geq k_1$ . From Lemma 3.3 and reasoning as in the proof of [23, Proposition 10.46], a constant  $C_2 = C_2(k_1) > 0$  exists such that

$$b_k \leq C_2 k^{\frac{\mu}{\mu-1}} \quad \text{for any } k \text{ large enough,}$$

which yields a contradiction from assumption (2.14) and Proposition 5.3. □

**Acknowledgements**

*Partially supported by Fondi di Ricerca di Ateneo 2015/16 “Metodi variazionali e topologici nello studio di fenomeni non lineari” and Research Funds INdAM – GNAMPA Project 2018 “Problemi ellittici semilineari: alcune idee variazionali”.*

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*Received: May 4, 2018.*

*Revised: November 11, 2018.*

*Accepted: November 13, 2018.*