

## GLOBAL OFFENSIVE $k$ -ALLIANCE IN BIPARTITE GRAPHS

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**Abstract.** Let  $k \geq 0$  be an integer. A set  $S$  of vertices of a graph  $G = (V(G), E(G))$  is called a global offensive  $k$ -alliance if  $|N(v) \cap S| \geq |N(v) - S| + k$  for every  $v \in V(G) - S$ , where  $0 \leq k \leq \Delta$  and  $\Delta$  is the maximum degree of  $G$ . The global offensive  $k$ -alliance number  $\gamma_o^k(G)$  is the minimum cardinality of a global offensive  $k$ -alliance in  $G$ . We show that for every bipartite graph  $G$  and every integer  $k \geq 2$ ,  $\gamma_o^k(G) \leq \frac{n(G) + |L_k(G)|}{2}$ , where  $L_k(G)$  is the set of vertices of degree at most  $k - 1$ . Moreover, extremal trees attaining this upper bound are characterized.

**Keywords:** global offensive  $k$ -alliance number, bipartite graphs, trees.

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### 1. INTRODUCTION

We begin with some terminology. For a vertex  $v$  of a graph  $G = (V, E) = (V(G), E(G))$ , the *open neighborhood* of a vertex  $v \in V$  is  $N(v) = \{u \in V \mid uv \in E\}$  and the *closed neighborhood* is  $N[v] = N(v) \cup \{v\}$ . The *degree* of  $v$ , denoted by  $\deg_G(v)$ , is  $|N(v)|$ . By  $n(G)$  and  $\Delta(G) = \Delta$  we denote the order and the maximum degree of the graph  $G$ , respectively. Specifically, for a vertex  $v$  in a rooted tree  $T$ , we denote by  $C(v)$  and  $D(v)$  the set of children and descendants, respectively, of  $v$ , and we define  $D[v] = D(v) \cup \{v\}$ . The *maximal subtree* at  $v$  is the subtree of  $T$  induced by  $D[v]$ , and is denoted by  $T_v$ .

In [3] Kristiansen, Hedetniemi, and Hedetniemi introduced several types of alliances in graphs, including defensive and offensive alliances. We are interested in a generalization of offensive alliances, namely global offensive  $k$ -alliances given by Shafique and Dutton [4, 5]. Let  $k \geq 0$  be an integer. A set  $S$  of vertices of a graph  $G$  is called a *global offensive  $k$ -alliance* if  $|N(v) \cap S| \geq |N(v) - S| + k$  for every  $v \in V(G) - S$  for  $0 \leq k \leq \Delta$ . The *global offensive  $k$ -alliance number*  $\gamma_o^k(G)$  is the minimum cardinality of a global offensive  $k$ -alliance in  $G$ . If  $S$  is a global offensive  $k$ -alliance of  $G$  and  $|S| = \gamma_o^k(G)$ , then we say that  $S$  is a  $\gamma_o^k(G)$ -set. A global offensive

1-alliance is a global offensive alliance and a global offensive 2-alliance is a global strong offensive alliance.

In this paper, we show that for every bipartite graph  $G$  and every integer  $k \geq 1$ ,  $\gamma_o^k(G) \leq \frac{n(G) + |L_k(G)|}{2}$ , where  $L_k(G) = \{x \in V(G) : \deg_G(x) \leq k - 1\}$ . Moreover, extremal trees attaining the upper bound are characterized for  $k \geq 2$ .

## 2. MAIN RESULTS

**Theorem 2.1.** *Let  $k \geq 1$  be an integer. If  $G$  is a bipartite graph, then*

$$\gamma_o^k(G) \leq \frac{n(G) + |L_k(G)|}{2}.$$

*Proof.* Let  $G$  be a bipartite graph. Clearly,  $L_k(G)$  is contained in every  $\gamma_o^k(G)$ -set. Let  $H$  be the graph obtained from  $G$  by removing  $L_k(G)$ . If  $H$  is empty, then the result is valid. Thus we assume now that  $n(H) \geq 1$ , and so  $H$  admits a bipartition  $A, B$ , where  $A = \emptyset$  or  $B = \emptyset$  is possible. Every vertex of  $A$  (resp.,  $B$ ) has at least  $k$  neighbors in  $B \cup L_k(G)$  (resp.,  $A \cup L_k(G)$ ). It follows that each of  $A \cup L_k(G)$  and  $B \cup L_k(G)$  is a global offensive  $k$ -alliance of  $G$  and so

$$\begin{aligned} \gamma_o^k(G) &\leq \min\{|A \cup L_k(G)|, |B \cup L_k(G)|\} \leq \\ &\leq \frac{n(G) - |L_k(G)|}{2} + |L_k(G)| = \frac{n(G) + |L_k(G)|}{2}. \quad \square \end{aligned}$$

The case  $k = 2$  in Theorem 2.1 leads to the next result.

**Corollary 2.2** ([2]). *If  $G$  is a bipartite graph, then*

$$\gamma_o^2(G) \leq \frac{n(G) + |L_2(G)|}{2}.$$

For a positive integer  $k$ , a set of vertices  $D$  in a graph  $G$  is said to be a  $k$ -dominating set if each vertex of  $G$  not contained in  $D$  has at least  $k$  neighbors in  $D$ . The order of a smallest  $k$ -dominating set of  $G$  is called the  $k$ -domination number, and it is denoted by  $\gamma_k(G)$ . Clearly, if  $S$  is any  $\gamma_o^k(G)$ -set, then every vertex of  $V(G) - S$  has at least  $k$  neighbors in  $S$ . Thus  $S$  is a  $k$ -dominating set of  $G$ , and hence  $\gamma_k(G) \leq \gamma_o^k(G)$ . Using this fact, Theorem 2.1 implies the following corollary.

**Corollary 2.3** ([1]). *Let  $k \geq 1$  be an integer. If  $G$  is a bipartite graph, then*

$$\gamma_k(G) \leq \frac{n(G) + |L_k(G)|}{2}.$$

In [1], Blidia, Chellali and Volkmann defined the following trees. For a positive integer  $p$ , a nontrivial tree  $T$  is called an  $\mathcal{N}_p$ -tree if  $T$  contains a vertex, say  $w$ , of degree at least  $p - 1$  and  $\deg_T(x) \leq p - 1$  for every vertex of  $x \in V(T) - \{w\}$ . We will call  $w$  the *special vertex* of  $T$ . An  $\mathcal{N}_p$ -tree with special vertex  $w$  is called *exact* if  $\deg_T(w) = p - 1$ . The subdivided star  $K_{1,p}$  ( $p \geq 1$ ) is an example of an  $\mathcal{N}_p$ -tree.

In order to characterize extremal trees achieving equality in Theorem 2.1 we define the family  $\mathcal{F}_k$  of all trees  $T$  that can be obtained from a sequence  $T_1, T_2, \dots, T_p$  ( $p \geq 1$ ) of trees, where  $T_1$  is an exact  $\mathcal{N}_k$ -tree,  $T = T_p$ , and, if  $p \geq 2$ ,  $T_{i+1}$  can be obtained recursively from  $T_i$  by one of the two operations listed below.

- Operation  $\mathcal{O}_1$ : Attach an  $\mathcal{N}_k$ -tree of special vertex  $w$  of degree at least  $k + 1$  by adding an edge from  $w$  to a vertex  $u$  of  $T_i$  of degree exactly  $k - 1$ , and adding at most one new tree, all vertices of degree at most  $k - 1$  and join a vertex of degree at most  $k - 2$  with  $u$  by an edge.
- Operation  $\mathcal{O}_2$ : Attach an  $\mathcal{N}_k$ -tree of special vertex  $w$  of degree  $k$  or  $k - 1$  by adding an edge from  $w$  to a vertex  $u$  of  $T_i$  of degree exactly  $k - 1$ , and adding  $t$  ( $t \geq 0$ ) new trees, all vertices of degree at most  $k - 1$  and join a vertex of degree at most  $k - 2$  of each new tree with  $u$  by an edge.

We state a lemma.

**Lemma 2.4.** *If  $T \in \mathcal{F}_k$ , then  $\gamma_o^k(T) = (n(T) + |L_k(T)|) / 2$ .*

*Proof.* Assume that  $T \in \mathcal{F}_k$ . Clearly,  $\Delta(T) \geq k - 1$  and  $T$  is obtained from a sequence  $T_1, T_2, \dots, T_p$  ( $p \geq 1$ ) of trees, where  $T_1$  is an exact  $\mathcal{N}_k$ -tree,  $T = T_p$ , and, if  $p \geq 2$ ,  $T_{i+1}$  can be obtained recursively from  $T_i$  by one of the two operations defined above. We will use an induction on  $p$ . If  $p = 1$ , then  $T$  is an exact  $\mathcal{N}_k$ -tree where  $\gamma_o^k(T) = |L_k(T)| = n(T)$  and so  $\gamma_o^k(T) = (n(T) + |L_k(T)|) / 2$ .

Assume now that  $p \geq 2$  and that the result holds for all trees  $T \in \mathcal{F}_k$  that can be constructed from a sequence of length at most  $p - 1$ , and let  $T' = T_{p-1}$ . By the inductive hypothesis on  $T' \in \mathcal{F}_k$  we have  $\gamma_o^k(T') = (n(T') + |L_k(T')|) / 2$ . Let  $T$  be a tree obtained from  $T'$  and  $S$  a  $\gamma_o^k(T)$ -set. We consider the following two cases.

*Case 1.*  $T$  is obtained from  $T'$  by using operation  $\mathcal{O}_1$ .

Let  $H$  be the  $\mathcal{N}_k$ -tree of special vertex  $w$  of degree at least  $k + 1$  added to  $T'$  and let  $Q$  be the new tree of maximum degree at most  $k - 1$  that can possibly be added to  $T'$ . Clearly  $n(T) = n(T') + n(H) + n(Q)$  and  $|L_k(T)| = |L_k(T')| + |V(H)| + |V(Q)| - 2$ . Then  $S$  contains all vertices of  $Q, H$  except possibly  $w$ . If  $w \in S$ , then  $u \notin S$  otherwise  $S - \{w\}$  is a global offensive  $k$ -alliance of  $T$ , contradicting the minimality of  $S$ , but then  $\{u\} \cup S - \{w\}$  is a  $\gamma_o^k(T)$ -set that contains  $u$  and not  $w$ . Now if  $w \notin S$ , then  $u \in S$  otherwise since  $k \leq \deg_T(u) \leq k + 1$ ,  $k \geq |N(u) \cap S| \geq |N(u) - S| + k \geq 1 + k$ , which is impossible. Thus we may assume without loss of generality that  $u \in S$  and  $w \notin S$ . Now let  $S' = S \cap V(T')$ . Since  $S$  is a  $\gamma_o^k(T)$ -set, every vertex of  $z \in V(T') - S'$  satisfies  $|N(z) \cap S'| \geq |N(z) - S'| + k$  and hence  $S'$  is a global offensive  $k$ -alliance of  $T'$ , implying that  $\gamma_o^k(T') \leq \gamma_o^k(T) - |V(H)| - |V(Q)| + 1$ . Now since  $\deg_{T'}(u) = k - 1$ ,  $u$  is in every  $\gamma_o^k(T')$ -set, and such a set can be extended to a global offensive  $k$ -alliance of  $T$  by adding  $(V(H) - \{w\}) \cup V(Q)$ ; and so  $\gamma_o^k(T) \leq \gamma_o^k(T') + |V(H)| + |V(Q)| - 1$ . It follows that  $\gamma_o^k(T) = \gamma_o^k(T') + |V(H)| + |V(Q)| - 1$ . Using induction on  $T'$ , we obtain  $\gamma_o^k(T) = (n(T) + |L_k(T)|) / 2$ .

Case 2.  $T$  is obtained from  $T'$  by using operation  $\mathcal{O}_2$ .

Let  $H$  be the  $\mathcal{N}_k$ -tree of special vertex  $w$  of degree  $k - 1$  or  $k$  added to  $T'$  and let  $Q_1, Q_2, \dots, Q_t$  be the  $t \geq 0$  new trees that can possibly be added to  $T'$ , each one of maximum degree at most  $k - 1$ . Then

$$n(T) = n(T') + n(H) + \sum_{j=1}^t |V(Q_j)|,$$

and

$$|L_k(T)| = |L_k(T')| - 1 + |V(H) - \{w\}| + \sum_{j=1}^t |V(Q_j)|.$$

Every  $\gamma_o^k(T')$ -set contains  $u$  and can be extended to a global offensive  $k$ -alliance of  $T$  by adding the set  $V(H) - \{w\}$  and all the vertices of  $Q_j$  for every  $j$ , so

$$\gamma_o^k(T) \leq \gamma_o^k(T') + |V(H)| - 1 + \sum_{j=1}^t |V(Q_j)|.$$

On the other hand,  $V(Q_j) \subset S$  for every  $j$ ,  $(V(H) - \{w\}) \subset S$  and  $S$  must contain one of  $w$  or  $u$ , otherwise  $S$  would not be a global offensive  $k$ -alliance of  $T$  since  $|N(w) \cap S| = k < k + 1 = |N(w) - S| + k$ . Thus we may assume that  $u \in S$ , and hence  $S$  minus the sets  $V(H) - \{w\}$  and  $V(Q_j)$  for every  $j$  is a global offensive  $k$ -alliance of  $T'$  implying that

$$\gamma_o^k(T') \leq \gamma_o^k(T) - |V(H)| + 1 - \sum_{j=1}^t |V(Q_j)|,$$

and so

$$\gamma_o^k(T) = \gamma_o^k(T') + |V(H)| - 1 + \sum_{j=1}^t |V(Q_j)|.$$

Using the induction on  $T'$ , we obtain  $\gamma_o^k(T) = (n(T) + |L_k(T)|)/2$ .  $\square$

We now give a constructive characterization of the trees  $T$  with the property that  $\gamma_k(T) = (n(T) + |L_k(T)|)/2$  for every integer  $k \geq 2$ .

**Theorem 2.5.** *Let  $k \geq 2$  be an integer. A tree  $T$  satisfies  $\gamma_k(T) = (n(T) + |L_k(T)|)/2$  if and only if either  $\Delta(T) \leq k - 2$  or  $T \in \mathcal{F}_k$ .*

*Proof.* Clearly, if  $T$  is a tree with  $\Delta(T) \leq k - 2$ , then  $|L_k(T)| = n(T)$  and so  $\gamma_k(T) = n(T) = (n(T) + |L_k(T)|)/2$ . By Lemma 2.4, if  $T \in \mathcal{F}_k$ , then  $\gamma_k(T) = (n(T) + |L_k(T)|)/2$ .

Let us prove the necessity. Let  $T$  be a tree with  $\gamma_o^k(T) = (n(T) + |L_k(T)|)/2$  for a positive integer  $k \geq 2$ . Suppose that  $\Delta(T) \geq k - 1$  and let  $Z(T) = \{x \in V(T) :$

$\deg_T(x) \geq k - 1$ }. We use an induction on the size of  $Z(T)$ , where  $|Z(T)| \geq 1$ . If  $|Z(T)| = 1$  then  $T$  is an exact  $\mathcal{N}_k$ -tree and hence  $T \in \mathcal{F}_k$ , because otherwise  $\gamma_o^k(T) = n(T) - 1 < n(T) - \frac{1}{2} = \frac{n(T) + |L_k(T)|}{2}$ .

Let  $|Z(T)| \geq 2$  and assume that every tree  $T'$  with  $|Z(T')| < |Z(T)|$  such that  $\gamma_o^k(T') = (n(T') + |L_k(T')|)/2$  is in  $\mathcal{F}_k$ .

Note that we have seen in the proof of Theorem 2.1 that  $A \cup L_k(T)$  and  $B \cup L_k(T)$  are two global offensive  $k$ -alliances of  $T$ , where  $\min\{|A \cup L_k(T)|, |B \cup L_k(T)|\} \leq \frac{n(T) - |L_k(T)|}{2}$ . It follows that if  $\gamma_o^k(T) = \frac{n(T) + |L_k(T)|}{2}$ , then  $A \cup L_k(T)$  and  $B \cup L_k(T)$  are two  $\gamma_o^k(T)$ -sets.

Let  $T$  be a tree with  $\gamma_o^k(T) = (n(T) + |L_k(T)|)/2$  and  $S$  a  $\gamma_o^k(T)$ -set. If every vertex of  $T$  has degree at most  $k - 1$  then  $T$  is an exact  $\mathcal{N}_k$ -tree. So assume that  $\Delta(T) \geq k$ . Then  $T$  has at least two vertices of degree at least  $k$  for otherwise  $\gamma_o^k(T) = n - 1 \neq (n(T) + |L_k(T)|)/2$  since  $|L_k(T)| = n - 1$ , a contradiction.

We now root  $T$  at a vertex  $r$  of maximum eccentricity. Let  $w$  be a vertex of degree at least  $k$  at maximum distance from  $r$ . Such a vertex exists since  $\Delta(T) \geq k$ . Clearly  $w \neq r$  and  $T_w$  is an  $\mathcal{N}_k$ -tree. Let  $u$  be the parent of  $w$  in the rooted tree. Assume that  $\deg_T(u) < k$ . Without loss of generality we may assume that  $w \in A$ . Then  $u \in L_k(T)$  and every descendant of  $w$  is in  $L_k(T)$ . As seen above  $A \cup L_k(T)$  is a  $\gamma_o^k(T)$ -set but then  $(A - \{w\}) \cup L_k(T)$  is a global offensive  $k$ -alliance of  $T$ , a contradiction. Thus  $\deg_T(u) \geq k$ . Likewise if  $u$  has a child  $b \neq w$  of degree at least  $k$ , then  $w, b \in A$ , and so  $(A - \{w, b\}) \cup \{u\} \cup L_k(T)$  is a global offensive  $k$ -alliance of  $T$  of size  $\frac{n(T) - |L_k(T)|}{2} - 1$  which leads to a contradiction too. Thus every child of  $u$  besides  $w$  has degree at most  $k - 1$  and so every vertex of  $D(u) - \{w\}$  has degree at most  $k - 1$ . We distinguish between two cases:

*Case 1.* Assume that  $\deg_T(w) \geq k + 2$ . Assume that  $\deg_T(u) \geq k + 2$ . Then every neighbor of  $u$  is in  $L_k(T)$  or in  $A$  ( $w$  and possibly the parent of  $u$ ). It follows that  $(A - \{w\}) \cup L_k(T)$  is a global offensive  $k$ -alliance of  $T$ , a contradiction.

It remains the case that  $k \leq \deg_T(u) \leq k + 1$ . Now consider the subtree  $T' = T - (T_w \cup T_b)$ , where  $T_b$  is any subtree rooted at a child  $b \neq w$  of  $u$  if  $\deg_T(u) = k + 1$  and  $V(T_b) = \emptyset$  if  $\deg_T(u) = k$ . Thus in both cases  $u$  has degree  $k - 1$  in  $T'$  and  $b$  has degree at most  $k - 2$  in  $T_b$ . Then every  $\gamma_o^k(T')$ -set contains  $u$  and such a set can be extended to a global offensive  $k$ -alliance of  $T$  by adding  $(V(T_w) - \{w\}) \cup V(T_b)$ , and so  $\gamma_o^k(T) \leq \gamma_o^k(T') + |D(w)| + |D[b]|$ . The equality is obtained by the fact that  $(B \cup L_k(T)) - (D(w) \cup D[b])$  is a global offensive  $k$ -alliance of  $T'$ . Since  $w$  is a vertex of degree at least  $k$  at maximum distance from  $r$ , we deduce that  $|L_k(T)| = |L_k(T')| + |D(w)| + |D[b]| - 1$ . It follows that

$$\frac{n(T) + |L_k(T)|}{2} = \gamma_o^k(T) = \gamma_o^k(T') + |D(w)| + |D[b]|$$

and therefore  $\frac{n(T') + |L_k(T')|}{2} = \gamma_o^k(T')$ . Since  $|Z(T')| < |Z(T)|$ , by induction on  $T'$ , we have  $T' \in \mathcal{F}_k$ . Because  $T$  is obtained from  $T'$  by using Operation  $\mathcal{O}_1$ ,  $T \in \mathcal{F}_k$ .

*Case 2.* Assume that  $k \leq \deg_T(w) \leq k + 1$ . Let  $C(u) = \{w, y_1, \dots, y_p\}$  where  $p = \deg_T(u) - 2$ . Recall that every vertex of  $C(u) - \{w\}$  has degree at most  $k - 1$ . Let

$T' = T - T_w - \bigcup_{j=1}^{p+2-k} T_{y_j}$ . Then  $T'$  is nontrivial and  $\deg_{T'}(u) = k - 1$ . It can be seen that

$$\begin{aligned}\gamma_o^k(T) &= \gamma_o^k(T') + \left| D(w) \cup \left( \bigcup_{j=1}^{p+2-k} D[y_j] \right) \right|, \\ n(T) &= n(T') + \left| D(w) \cup \left( \bigcup_{j=1}^{p+2-k} D[y_j] \right) \right| + 1\end{aligned}$$

and

$$L_k(T) = L_k(T') + \left| D(w) \cup \left( \bigcup_{j=1}^{p+2-k} D[y_j] \right) \right| - 1,$$

implying that  $\gamma_o^k(T') = (n(T') + |L_k(T')|)/2$  with  $|Z(T')| < |Z(T)|$ . By the inductive hypothesis on  $T'$ , we have  $T' \in \mathcal{F}_k$ . Thus  $T \in \mathcal{F}_k$  because it is obtained from  $T'$  by using Operation  $\mathcal{O}_2$ .  $\square$

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